

Prison Rape Elimination Act (PREA) Audit Report Adult Prisons & Jails

Interim Final

Date of Interim Audit Report: Click or tap here to enter text. N/A

If no Interim Audit Report, select N/A

Date of Final Audit Report: November 4, 2021

Auditor Information

Name: Mark Stegemoller

Email: markronda@centurylink.net

Company Name: Mark Stegemoller PREA Consultant LLC.

Mailing Address: 3873 Utica Road

City, State, Zip: Lebanon, Ohio, 45036

Telephone: 513-805-5176

Date of Facility Visit: September 20-21, 2021

Agency Information

Name of Agency: Bureau of Naval Personnel, Corrections and Programs Office (BUPERS-00D)

Governing Authority or Parent Agency (If Applicable): U.S Navy

Physical Address: 5720 Integrity Drive, Bldg. 768, Rm S216

City, State, Zip: Millington, TN 38055

Mailing Address: Same as above

City, State, Zip: Click or tap here to enter text.

The Agency Is:

Military

Private for Profit

Private not for Profit

Municipal

County

State

Federal

Agency Website with PREA Information: <https://www.public.navy.mil/bupers-npc/support/correctionprograms/Pages/PREA.aspx>

Agency Chief Executive Officer

Name: Mr. Purcell, T. E.

Email: timothy.e.purcell.civ@us.navy.mil

Telephone: 901-874-4452

Agency-Wide PREA Coordinator

Name: Mr. Pucciarelli, J. A.

Email: john.a.pucciarelli.civ@us.navy.mil

Telephone: 901-874-4569

PREA Coordinator Reports to:

Mr. Purcell, T. E.

Number of Compliance Managers who report to the PREA Coordinator:

5

Facility Information

Name of Facility: Military Correctional Facility (MCF) - Miramar

Physical Address: 46141 Miramar Way

City, State, Zip: San Diego, CA 92145

Mailing Address (if different from above):

Click or tap here to enter text.

City, State, Zip: Click or tap here to enter text.

The Facility Is:

Military

Private for Profit

Private not for Profit

Municipal

County

State

Federal

Facility Type:

Prison

Jail

Facility Website with PREA Information:

[https://www.public.navy.mil/bupersnpc/support/correctionprograms/brigs/miramar/Pages/PrisonRapeEliminationAct\(PREA\).aspx](https://www.public.navy.mil/bupersnpc/support/correctionprograms/brigs/miramar/Pages/PrisonRapeEliminationAct(PREA).aspx)

Has the facility been accredited within the past 3 years? Yes No

If the facility has been accredited within the past 3 years, select the accrediting organization(s) – select all that apply (N/A if the facility has not been accredited within the past 3 years):

ACA

NCCHC

CALEA

Other (please name or describe: [Click or tap here to enter text.](#))

N/A

If the facility has completed any internal or external audits other than those that resulted in accreditation, please describe:

Internal ORI (triennial inspection conducted by headquarters) 5-7 Nov 2019

Warden/Jail Administrator/Sheriff/Director

Name: CDR Anna Villalpando

Email: anna.villalpando@navy.mil

Telephone: 858-307-7120

Facility PREA Compliance Manager

Name: Mr. Carl Stone

Email: carl.a.stone@navy.mil

Telephone: 858-307-7055

Facility Health Service Administrator N/A

Name: Ms. Mangindin, J.

Email: jeanmarie.mangindin@navy.mil

Telephone: 858-307-7050

Facility Characteristics

Designated Facility Capacity:

243

Current Population of Facility:

113

Average daily population for the past 12 months:	151.3	
Has the facility been over capacity at any point in the past 12 months?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Which population(s) does the facility hold?	<input type="checkbox"/> Females <input type="checkbox"/> Males <input checked="" type="checkbox"/> Both Females and Males	
Age range of population:	19-51	
Average length of stay or time under supervision:	630 Days	
Facility security levels/inmate custody levels:	Installation Custody (Trustee) - Maximum	
Number of inmates admitted to facility during the past 12 months:	189	
Number of inmates admitted to facility during the past 12 months whose length of stay in the facility was for 72 hours or more:	188	
Number of inmates admitted to facility during the past 12 months whose length of stay in the facility was for 30 days or more:	136	
Does the facility hold youthful inmates?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Number of youthful inmates held in the facility during the past 12 months: (N/A if the facility never holds youthful inmates)	Click or tap here to enter text. <input type="checkbox"/> N/A	
Does the audited facility hold inmates for one or more other agencies (e.g. a State correctional agency, U.S. Marshals Service, Bureau of Prisons, U.S. Immigration and Customs Enforcement)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
Select all other agencies for which the audited facility holds inmates: Select all that apply (N/A if the audited facility does not hold inmates for any other agency or agencies):	<input type="checkbox"/> Federal Bureau of Prisons <input type="checkbox"/> U.S. Marshals Service <input type="checkbox"/> U.S. Immigration and Customs Enforcement <input type="checkbox"/> Bureau of Indian Affairs <input checked="" type="checkbox"/> U.S. Military branch <input type="checkbox"/> State or Territorial correctional agency <input type="checkbox"/> County correctional or detention agency <input type="checkbox"/> Judicial district correctional or detention facility <input type="checkbox"/> City or municipal correctional or detention facility (e.g. police lockup or city jail) <input type="checkbox"/> Private corrections or detention provider <input type="checkbox"/> Other - please name or describe: Click or tap here to enter text. <input type="checkbox"/> N/A	
Number of staff currently employed by the facility who may have contact with inmates:	195	
Number of staff hired by the facility during the past 12 months who may have contact with inmates:	6	
Number of contracts in the past 12 months for services with contractors who may have contact with inmates:	2	
Number of individual contractors who have contact with inmates, currently authorized to enter the facility:	4	
Number of volunteers who have contact with inmates, currently authorized to enter the facility:	0	

Physical Plant

Number of buildings:

Auditors should count all buildings that are part of the facility, whether inmates are formally allowed to enter them or not. In situations where temporary structures have been erected (e.g., tents) the auditor should use their discretion to determine whether to include the structure in the overall count of buildings. As a general rule, if a temporary structure is regularly or routinely used to hold or house inmates, or if the temporary structure is used to house or support operational functions for more than a short period of time (e.g., an emergency situation), it should be included in the overall count of buildings.

3

Number of inmate housing units:

Enter 0 if the facility does not have discrete housing units. DOJ PREA Working Group FAQ on the definition of a housing unit: How is a "housing unit" defined for the purposes of the PREA Standards? The question has been raised in particular as it relates to facilities that have adjacent or interconnected units. The most common concept of a housing unit is architectural. The generally agreed-upon definition is a space that is enclosed by physical barriers accessed through one or more doors of various types, including commercial-grade swing doors, steel sliding doors, interlocking sally port doors, etc. In addition to the primary entrance and exit, additional doors are often included to meet life safety codes. The unit contains sleeping space, sanitary facilities (including toilets, lavatories, and showers), and a dayroom or leisure space in differing configurations. Many facilities are designed with modules or pods clustered around a control room. This multiple-pod design provides the facility with certain staff efficiencies and economies of scale. At the same time, the design affords the flexibility to separately house inmates of differing security levels, or who are grouped by some other operational or service scheme. Generally, the control room is enclosed by security glass, and in some cases, this allows inmates to see into neighboring pods. However, observation from one unit to another is usually limited by angled site lines. In some cases, the facility has prevented this entirely by installing one-way glass. Both the architectural design and functional use of these multiple pods indicate that they are managed as distinct housing units.

8

Number of single cell housing units:

6

Number of multiple occupancy cell housing units:

0

Number of open bay/dorm housing units:

0

Number of segregation cells (for example, administrative, disciplinary, protective custody, etc.):

50

In housing units, does the facility maintain sight and sound separation between youthful inmates and adult inmates? (N/A if the facility never holds youthful inmates)

Yes No N/A

Does the facility have a video monitoring system, electronic surveillance system, or other monitoring technology (e.g. cameras, etc.)?

Yes No

Has the facility installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology in the past 12 months?

Yes No

Medical and Mental Health Services and Forensic Medical Exams

Are medical services provided on-site?

Yes No

Are mental health services provided on-site?

Yes No

<p>Where are sexual assault forensic medical exams provided? Select all that apply.</p>	<input type="checkbox"/> On-site <input checked="" type="checkbox"/> Local hospital/clinic <input type="checkbox"/> Rape Crisis Center <input type="checkbox"/> Other (please name or describe: Click or tap here to enter text.)
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Investigations

Criminal Investigations

<p>Number of investigators employed by the agency and/or facility who are responsible for conducting CRIMINAL investigations into allegations of sexual abuse or sexual harassment:</p>	0
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<p>When the facility received allegations of sexual abuse or sexual harassment (whether staff-on-inmate or inmate-on-inmate), CRIMINAL INVESTIGATIONS are conducted by: Select all that apply.</p>	<input type="checkbox"/> Facility investigators <input type="checkbox"/> Agency investigators <input checked="" type="checkbox"/> An external investigative entity
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<p>Select all external entities responsible for CRIMINAL INVESTIGATIONS: Select all that apply (N/A if no external entities are responsible for criminal investigations)</p>	<input type="checkbox"/> Local police department <input type="checkbox"/> Local sheriff's department <input type="checkbox"/> State police <input type="checkbox"/> A U.S. Department of Justice component <input checked="" type="checkbox"/> Other (please name or describe: NCIS) <input type="checkbox"/> N/A
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Administrative Investigations

<p>Number of investigators employed by the agency and/or facility who are responsible for conducting ADMINISTRATIVE investigations into allegations of sexual abuse or sexual harassment?</p>	2
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<p>When the facility receives allegations of sexual abuse or sexual harassment (whether staff-on-inmate or inmate-on-inmate), ADMINISTRATIVE INVESTIGATIONS are conducted by: Select all that apply</p>	<input checked="" type="checkbox"/> Facility investigators <input type="checkbox"/> Agency investigators <input type="checkbox"/> An external investigative entity
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<p>Select all external entities responsible for ADMINISTRATIVE INVESTIGATIONS: Select all that apply (N/A if no external entities are responsible for administrative investigations)</p>	<input type="checkbox"/> Local police department <input type="checkbox"/> Local sheriff's department <input type="checkbox"/> State police <input type="checkbox"/> A U.S. Department of Justice component <input type="checkbox"/> Other (please name or describe: Click or tap here to enter text.) <input checked="" type="checkbox"/> N/A
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Audit Findings

Audit Narrative (including Audit Methodology)

The auditor's description of the audit methodology should include a detailed description of the following processes during the pre-onsite audit, onsite audit, and post-audit phases: documents and files reviewed, discussions and types of interviews conducted, number of days spent on-site, observations made during the site-review, and a detailed description of any follow-up work conducted during the post-audit phase. The narrative should describe the techniques the auditor used to sample documentation and select interviewees, and the auditor's process for the site review.

The Prison Rape Elimination Act (PREA) onsite audit of the military correctional facility (MCF) – Miramar which is also known as (aka) Naval Consolidated Brig Miramar, located in San Diego, California, was conducted on September 20-21, 2021, by Mark Stegemoller, a U.S. Department of Justice Certified PREA Auditor. Audit notices were emailed to the facility, July 8, 2021, and posted on the same day throughout the facility, 12 weeks before the onsite visit. The audit notices were date stamped and photographic evidence was submitted to the auditor demonstrating the timely posting of the notices. The PREA Compliance Manager provided the Pre-Audit Questionnaire (PAQ) to the auditor and supporting documents on a flash drive several weeks before the onsite review portion of the audit. The auditor conducted a thorough review of all submitted documentation and materials along with the information included in the completed PAQ. The documentation reviewed included agency policies, protocols, facility-related documents and forms, education materials, training curriculum, organizational charts, posters, pamphlets, and other PREA related materials provided to demonstrate compliance with the PREA standards.

This was the third PREA audit for the MCF - Miramar. The entrance briefing for the audit was held on Monday, September 20, 2021, with CDR Anna Villalpando, Commanding Officer (CO), Carl Stone, PREA Compliance Manager, Mr. Pucciarelli, Agency-Wide PREA Coordinator and facility executive staff members. After introductions and welcoming remarks by the CO and the auditor, the discussion turned to the audit schedule and an overview of the PREA audit process. The auditor described the onsite phase of the audit and explained the PREA audit triangulation methodology the auditor would utilize to obtain evidence of compliance. The auditor would observe the MCFs' day-to-day practices, review written policies and procedures, complete a facility site inspection, conduct staff and prisoner interviews; and review additional documentation to confirm implementation. The auditor explained that the PREA audit process is much more invasive than most correctional audits. The auditor stated he will work collaboratively with staff to ensure the facility achieves full compliance with PREA standards. The auditor advised staff the Department of Justice (DOJ) expects that corrective action will be necessary in most cases. This is a normal part of the audit and should not be considered adversely. The auditor further indicated that the document review and information gathering onsite would conceivably be more extensive than experienced during the agency's first PREA audits due to additional guidance published by the (DOJ).

After the entrance briefing, the auditor conducted a facility sight inspection of the entire MCF accompanied by command staff. Areas inspected included the facility administrative office areas, MCF control center, prisoner housing units, recreation department, intake, prisoner dining area, and the medical department. At each site visited throughout the inspection, the auditor was given a comprehensive description of the area's responsibility by personnel in charge of the area. The auditor spoke informally with staff and prisoners during the inspection and gave specific attention to security camera placements, video monitoring capabilities, site lines, and potential blind spots. The auditor observed, among other things, the MCF configuration, location and number of security cameras and mirrors, staff supervision of prisons throughout the MCF, dorm room layouts, including showering and toileting areas. The auditor was mindful to pay specific attention to the placement of PREA related directives, posters, and PREA informational resources. Individual shower stalls allow prisoners to shower separately and have a half door, allowing for adequate security and privacy. Toilet stalls are also separated by partitions and have doors to allow for privacy. The auditor did not notice any concerns for potential of cross-gender viewing. During prisoner interviews, one prisoner voiced concerns about the potential for cross-gender viewing while he is showering. The Auditor revisited multiple housing units to examine the shower areas and determined there was no cross-gender viewing concerns. Notices of the PREA audit were posted throughout the MCF, to include in all prisoner living areas.

After the MCF inspection was completed, the auditor began interviewing random prisoners. Prisoner interviews were conducted in a private office. On the first day of the site visit, the MCF housed (100) male prisoners and (6) female prisoners. The auditor formally interviewed a total of (31) prisoners over the two days onsite. Of the (31) prisoners interviewed, (22) were randomly selected and (9) were identified for target interviews. Of the (9) targeted interviews, several prisoners met multiple targeted categories and were subsequently interviewed for each protocol. The targeted group consisted of (1) acknowledging prior victimization, (5) identifying as LGBTI, (1) transgender, (1) who reported sexual abuse. Prisoners were interviewed using the recommended DOJ protocols that question their knowledge of PREA protection and their knowledge on reporting mechanisms that are in place to report sexual abuse or sexual harassment.

On day two of the onsite inspection, the auditor interviewed random and specialized staff (from both shifts) along with MCF command staff and agency administrators. The auditor was provided with a private conference room in the administration area of the MCF to conduct confidential staff interviews and documentation review. The auditor interviewed a total of (30) staff during the onsite visit. Included in the interviews were twelve (12) random front-line staff representing both shifts. Specialty staff including Medical/Mental Health, First Responders, Investigator, Intake/risk screening, Human Resources, SAFE/SANE, Incident Review Team member, and Training Officer. Also interviewed was the Agency Director designee, Agency-Wide PREA Coordinator, MCF PREA Compliance Manager. All staff were interviewed using the DOJ prescribed protocols.

While onsite the auditor reviewed personnel files for (5) staff randomly selected interviewees to determine compliance with PREA training mandates, background check procedures, and employee PREA training. The auditor reviewed (5) randomly selected prisoner files to assess proper screening and intake procedures for risk of sexual victimization and/or abusiveness, prisoner PREA information received upon intake, and comprehensive PREA education. The facility reported (6) incidents of sexual misconduct during the audit period. (4) cases were determined to be unfounded, (1) substantiated and (1) unsubstantiated. The auditor conducted a comprehensive review of all (6) completed administrative investigation files while onsite with the facility investigator and PREA Compliance Manager to determine compliance with PREA investigation mandates. While onsite, the auditor interviewed the Sexual Assault Response Coordinator (SARC) of the Sexual Assault Prevention and Response Program. Along with submitted compliance documentation, and the interview conducted with the SARC confirmed the agreement in place with the MCF - Miramar to provide rape crisis intervention services to victims of sexual abuse that occurs at the facility. The auditor verified SANE/SAFE services are provided through a review of the MOU between the Director of Naval Operations Bureau of Medicine and Surgery and the MCF - Miramar.

MCF personnel provided the auditor unimpeded access to all parts of the facility during the onsite inspection. The auditor conducted an exit briefing with the CO, MCF PREA Compliance Manger, Agency-Wide PREA Coordinator and executive staff members of the facility on Tuesday, September 21, 2021. The auditor explained he could not give an outcome of the audit but did provide insight into some preliminary findings and discussed the post-site visit audit activity the auditor will need to accomplish to verify compliance with all the PREA standards. The auditor thanked the staff and commended them on their hard work and commitment to the Prison Rape Elimination Act. During the report writing period the auditor communicated on numerous occasions with the PREA Compliance Manager via phone calls and email correspondence, requesting additional documentation, clarification on policies, procedures, and agency practices.

Facility Characteristics

The auditor's description of the audited facility should include details about the facility type, demographics and size of the inmate, resident or detainee population, numbers and type of staff positions, configuration and layout of the facility, numbers of housing units, description of housing units including any special housing units, a description of programs and services, including food service and recreation. The auditor should describe how these details are relevant to PREA implementation and compliance.

The MFC - Miramar (aka NCBM) is an adult male and female, military correctional facility located on Marine Corps Air Station (MCAS) Miramar. The facility is located 12 miles north of downtown San Diego, California. The MCF footprint consists of two main buildings and nine smaller buildings. The original MCF was constructed in 1989 and was expanded in 2011. The facility resides on approximately 37 acres. The MCF – Miramar has a rated capacity of 243. On the first day of the onsite visit the facility confined 106 prisoners - 100 males and 6 females. The population is made up of prisoners from all branches of Service - the U.S. Navy, U.S. Marine Corps, U.S. Air Force, U.S. Army and U.S. Coast Guard. Sex Offenders make up the majority of the population. All prisoners are or were members of the U.S. military. Some have been discharged from the military while in confinement but are still serving the remainder of sentences for felony convictions.

The MCF compound is enclosed by a double 14-foot chain-link fence topped with a combination of razor wire and concertina wire. Entry to and exit from the facility are controlled through an electronic security system. Master Control Center (MCC) staff control all access through sally ports and the facility perimeter. All staff and visitors must pass through a walkthrough metal detector. Adjacent to the main lobby, and outside the security perimeter, are the MCF training offices, staff kitchen, training room, audio-visual equipment storage, lock shop, armory, staff exercise room, and staff locker/shower rooms. The main buildings are divided into eight housing units and seven administrative areas, all monitored by MCC. Prisoners are assigned to a Facility Level classification system based upon sentence length and program needs. Level 1 is for pretrial prisoners and those offenders adjudged with short sentences up to one year. Level 2 is up to ten years and Level 3 is greater than ten years. MCF – Miramar houses prisoners of all three facility classification levels.

Housing Units A1, A2, B1, B2 and B3, male only: Each housing unit is divided into two areas with 40 cells in each area (20 on the first level and 20 on a mezzanine). Each housing unit has a day room and an adjacent recreation yard. A Unit Management Team (UMT) which consists of a unit manager, counselor, two middle-management enlisted staff and five quarters supervisors operating under a military model. Cells are 80 square feet single occupancy. Prisoner movement within the housing units is controlled by their own local control centers located at the Quarters Supervisors desk. UMT offices are located within the housing units. There are 18 male Special Quarters, or segregation cells, located in A1. An additional nine segregation-capable cells are available in A2. Three of the housing units, A2, B2 and B3 are currently not in use.

Housing Units B1, B4 and B5, male only: Each housing unit has 60 cells in each structure [30 on the first level, 30 on a mezzanine]. Each housing unit has a day room and shares a recreation yard. These Housing Units have a UMT the same as described above. The cells here are also 80 square feet single occupancy, and prisoner movement within the Housing Units is controlled by their own local control centers located at the Quarters Supervisors desk. UMT offices are located within the housing units. There are two medical isolation negative pressure cells in B5. These housing units are open and operational.

Housing Unit B6, female only: The Women's Correctional Facility (WCF) area has 66 80-square foot single-occupancy cells arranged around a common dayroom. B6 is divided into "communities", for pretrial prisoners, general population prisoners, long term prisoners, and incentive level prisoners. The unit has self-contained office space as well as group counseling rooms and separate laundry facilities. Indoor and outdoor recreation areas are located at the east end of the building. This Housing Unit is managed by the same UMT concept. Movement within the Housing Unit is controlled by its own local control center located at the Quarters Supervisor's desk. A female-only segregation area has four disciplinary cells and ten administrative segregation cells, including medical isolation and suicide risk (direct observation).

Building C1 houses the Parole and Release and Clinical Services. Buildings C2 and C5 house the Library, Religious Programs and Housing Administration. Building C3 houses Master Control Center (MCC), Operations, and Medical / Dispensary area.

Building C4 houses Administration, Finance and Information Technology offices. Executive and administrative personnel provide management analysis, information management, financial management, personnel management, and institutional support. This area contains the offices of the Commanding Officer, Executive Officer, Corrections Technical Director, Administrative Officer, ACA Manager, PREA Compliance Manager, and Finance Officer, as well as personnel offices, records storage, the main telephone switchboard, a conference room, and centralized computer support.

Building C6 houses Supply/Warehouse and Industries operations. Several smaller adjacent buildings support the MCF's logistics requirements and the MCF Safety and Hazardous Material [HazMat] control offices are located here.

Building C7 contains Program Support, to include the Visitor Control Center (VCC), a video arraignment room, legal visitation rooms, a second galley, prisoner visitation and recreation space, conference and classrooms, a medical suite, a Reentry Resource Center, an outdoor atrium, office space, and an expanded staff lounge. The galley and associated dining hall feeds sixty people at a time. The VCC also serves as a fully capable backup to the Master Control Center.

Building C8 contains Industries and Maintenance, to include vocational training classrooms, industrial shops, laundry services and offices to support an embroidery shop, a metal and welding shop, carpentry and woodworking shop, maintenance staging space, and an industrial engraving shop.

The MCF - Miramar offers programs and services to include food services, medical care, dental care, recreation, multi-denominational religious programs and services, work programs, academic education and vocational education, visitation, social and mental health services, library, laundry, mail and telephone access. The mission of MCF - Miramar is to ensure the security, good order, discipline, and safety of pretrial and post-trial prisoners; to retain and restore the maximum number of personnel to honorable service; and to prepare prisoners for return to civilian life as productive citizens.

Facility Demographics:

- Rated Capacity: 243
- Actual Population: 106
- Average Daily Population for the last 12 months: 151.3
- Average Length of Stay: 630 Days
- Security/Custody Level: IC (trustee) to Maximum
- Number of prisoners admitted to facility during the past 12 months: 189
- Number of prisoners admitted to facility during the past 12 months whose length of stay in the facility was for 72 hours or more: 188
- Number of prisoners admitted to facility during the past 12 months whose length of stay in the facility was for 30 days or more: 136
- Age Range of Offenders: Adult (19-51)
- Prisoner gender: Male and female
- Full-Time Staff: 195
 - Contractors: 4
 - Volunteers: 0

Summary of Audit Findings

The summary should include the number and list of standards exceeded, number of standards met, and number and list of standards not met.

Auditor Note: No standard should be found to be “Not Applicable” or “NA”. A compliance determination must be made for each standard.

Standards Exceeded

Number of Standards Exceeded: 8

List of Standards Exceeded: 115.21, 115.31, 115.33, 115.34, 115.41, 115.43, 115.71, 115.86

Standards Met

Number of Standards Met: 37

115.11, 115.12, 115.13, 115.14, 115.15, 115.16, 115.17, 115.18, 115.22, 115.35, 115.42, 115.51, 115.52, 115.53, 115.54, 115.61, 115.62, 115.63, 115.64, 115.65, 115.66, 115.67, 115.68, 115.72, 115.73, 115.76, 115.77, 115.78, 115.81, 115.82, 115.83, 115.87, 115.88, 115.89, 115.401, 115.403.

Standards Not Met

Number of Standards Not Met: 0

List of Standards Not Met: N/A

PREVENTION PLANNING

Standard 115.11: Zero tolerance of sexual abuse and sexual harassment; PREA coordinator

All Yes/No Questions Must Be Answered by The Auditor to Complete the Report

115.11 (a)

- Does the agency have a written policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment? Yes No
- Does the written policy outline the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment? Yes No

115.11 (b)

- Has the agency employed or designated an Agency-Wide PREA Coordinator? Yes No
- Is the PREA Coordinator position in the upper-level of the agency hierarchy? Yes No
- Does the PREA Coordinator have sufficient time and authority to develop, implement, and oversee agency efforts to comply with the PREA standards in all of its facilities?
 Yes No

115.11 (c)

- If this agency operates more than one facility, has each facility designated a PREA Compliance Manager? (N/A if agency operates only one facility.) Yes No NA
- Does the PREA Compliance Manager have sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards? (N/A if agency operates only one facility.)
 Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's

conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Navy Directive – Deputy Chief of Naval Personnel, Bureau of Naval Personnel Corrections and Programs Office (BUPERS-00D) appointment of the Agency-Wide PREA Coordinator
- Navy Directive – Commanding Officer, Military Correctional Facility - Miramar appointment of the MCF's PREA Compliance Manager
- Interviews:
 - Agency-Wide PREA Coordinator
 - MCF PREA Compliance Manager

Subsection (a) The auditor reviewed the agency's written PREA policy mandating zero tolerance toward all forms of sexual abuse and sexual harassment, which outlines the agency's approach to preventing, detecting, and responding to such conduct, covering all the elements of this subsection. The auditor found the policy to be complete and thorough, defining how the MCF will implement the agency's approach to preventing, detecting, and responding to sexual abuse and sexual harassment.

Subsection (b) The agency employs an upper-level, Agency-Wide PREA Coordinator, Mr. John Pucciarelli, who reports to the Commander, Navy Personnel Command. Interview conducted with the Agency-Wide PREA Coordinator indicated he has sufficient time and authority to develop, implement, and oversee the agency efforts to comply with the PREA standards in all its facilities.

Subsection (c)

The facility has a designated PREA Compliance Manager, Mr. Carl Stone. The facility PREA Compliance Manager is also the MCF's Command Evaluator, reporting directly to the Commanding Officer (CO). Interview conducted with the facility PREA Compliance Manager indicated he has sufficient time and authority to coordinate the facility's efforts to comply with the PREA standards.

Standard 115.12: Contracting with other entities for the confinement of inmates

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.12 (a)

- If this agency is public and it contracts for the confinement of its inmates with private agencies or other entities including other government agencies, has the agency included the entity's obligation to comply with the PREA standards in any new contract or contract renewal signed on or after August 20, 2012? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) Yes No NA

115.12 (b)

- Does any new contract or contract renewal signed on or after August 20, 2012 provide for

agency contract monitoring to ensure that the contractor is complying with the PREA standards? (N/A if the agency does not contract with private agencies or other entities for the confinement of inmates.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23
- Agency's facing website that shows facilities the Agency contracts with to confine prisoners. <https://www.public.navy.mil/bupersnpc/support/correctionprograms/Pages/PREA.aspx>
- Completed Prison Rape Elimination Act (PREA) Audit Reports Adult Prisons & Jails for the three facilities the agency contracts with.
- Interviews
 - Agency-Wide PREA Coordinator/Contract Administrator

Subsection (a) In a review of the documentation provided and interview with the Agency-Wide PREA Coordinator (contract administrator), the agency has entered and renewed contracts for the confinement of prisoners since the last PREA audit. All the contracts require contractors to adopt and comply with PREA standards. The agency has three (3) contracts for the confinement of prisoners that the agency entered or renewed with private entities or other government agencies.

Subsection (b) The auditor reviewed the contracts for confinement of prisoners entered into and determined that they are to follow the requirements of the PREA standards. The contracts are available for review on the Agency's website that displays the facilities the Agency contracts to confine prisoners. (<https://www.public.navy.mil/bupersnpc/support/correctionprograms/Pages/PREA.aspx>) Interview with the Agency PREA Coordinator indicated all (3) contracts require the Agency to monitor the contractor's compliance with PREA standards. The Agency provided the auditor with the most recently completed PREA audit reports for all (3) contracted facilities.

Standard 115.13: Supervision and monitoring

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.13 (a)

- Does the facility have a documented staffing plan that provides for adequate levels of staffing and, where applicable, video monitoring, to protect inmates against sexual abuse? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Generally accepted detention and correctional practices? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any judicial findings of inadequacy? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from Federal investigative agencies? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any findings of inadequacy from internal or external oversight bodies? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: All components of the facility's physical plant (including "blind-spots" or areas where staff or inmates may be isolated)? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The composition of the inmate population? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The number and placement of supervisory staff? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The institution programs occurring on a particular shift? Yes No NA
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any applicable State or local laws, regulations, or standards? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: The prevalence of substantiated and unsubstantiated incidents of sexual abuse? Yes No
- In calculating adequate staffing levels and determining the need for video monitoring, does the staffing plan take into consideration: Any other relevant factors? Yes No

115.13 (b)

- In circumstances where the staffing plan is not complied with, does the facility document and justify all deviations from the plan? (N/A if no deviations from staffing plan.)
 Yes No NA

115.13 (c)

- In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The staffing plan established pursuant to paragraph (a) of this section? Yes No
- In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The facility's deployment of video monitoring systems and other monitoring technologies? Yes No
- In the past 12 months, has the facility, in consultation with the agency PREA Coordinator, assessed, determined, and documented whether adjustments are needed to: The resources the facility has available to commit to ensure adherence to the staffing plan? Yes No

115.13 (d)

- Has the facility/agency implemented a policy and practice of having intermediate-level or higher-level supervisors conduct and document unannounced rounds to identify and deter staff sexual abuse and sexual harassment? Yes No
- Is this policy and practice implemented for night shifts as well as day shifts? Yes No
- Does the facility/agency have a policy prohibiting staff from alerting other staff members that these supervisory rounds are occurring, unless such announcement is related to the legitimate operational functions of the facility? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PRISON RAPE ELIMINATION ACT (PREA) ANNUAL REPORT AND SECURITY STAFFING PLAN REVIEW FOR CY-2018, CY-2019 and CY-2020
- MCF - Miramar INTERNET PROTOCOL (IP) SURVEILLANCE PROJECT
- MCF Directive - UNANNOUNCED ROUNDS
- Interviews
 - Intermediate - or Higher-Level Facility
 - CO
 - PREA Compliance Manager

Subsection (a) A review of the agency policy, supporting documentation, and interviews conducted with the MCF CO and PREA Compliance Manager indicates the Operations Chief and Executive Officer develops, document, and does their best to regularly comply with a staffing plan that provides for adequate levels of staffing, and where applicable, video monitoring, to protect prisoners against sexual abuse. The written staffing is required to be developed sufficiently in advance for internal review and further submission to Deputy Chief of Naval Personnel, Bureau of Naval Personnel Corrections and Programs Office (BUPERS-00D) by 15 March each year. The auditor has determined the facility considers all (11) elements required of this subsection.

Subsection (b) In a review of the MCF's last three (3) PREA annual reports and security staffing plan reviews for CY-2018, CY-2019, and CY-2020, the facility has not had to deviate from its originally staffing plan. This was further confirmed through interviews with the CO and PREA Compliance Manager.

Subsection (c) At least once every year, and according to agency policy, submitted compliance documentation and auditor interviews with the CO and PREA Compliance Manager, the facility/agency, in collaboration with the Agency-Wide PREA Coordinator, reviews the staffing plan. This process is completed to see whether adjustments are needed to the staffing plan, the deployment of monitoring technology, or the possible allocation of facility/agency resources to commit to the staffing plan to ensure compliance with the staffing plan. The written staffing plan is required to be developed sufficiently in advance for internal review and further submission to BUPERS-00D by 15 March each year.

Subsection (d) A review of the agency policy, supporting documentation, and auditor interviews conducted with the MCF CO, PREA Compliance Manager, and supervisory staff indicates the facility requires that intermediate-level or higher-level staff conduct unannounced rounds to identify and deter staff sexual abuse and sexual harassment. During the facility onsite inspection, the auditor randomly reviewed logbook entries documenting such rounds are occurring on both day and night shifts. Supervisory staff are assigned specific days of the week that they are required to conduct unannounced rounds, ensuring these types of rounds are conducted daily for both day and night shifts.

Standard 115.14: Youthful inmates

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.14 (a)

- Does the facility place all youthful inmates in housing units that separate them from sight, sound, and physical contact with any adult inmates through use of a shared dayroom or other

common space, shower area, or sleeping quarters? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA

115.14 (b)

- In areas outside of housing units does the agency maintain sight and sound separation between youthful inmates and adult inmates? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA
- In areas outside of housing units does the agency provide direct staff supervision when youthful inmates and adult inmates have sight, sound, or physical contact? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA

115.14 (c)

- Does the agency make its best efforts to avoid placing youthful inmates in isolation to comply with this provision? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA
- Does the agency, while complying with this provision, allow youthful inmates daily large-muscle exercise and legally required special education services, except in exigent circumstances? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA
- Do youthful inmates have access to other programs and work opportunities to the extent possible? (N/A if facility does not have youthful inmates [inmates <18 years old].) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)

- MEMORANDUM FOR THE RECORD (REA STANDARD 115.14 YOUTHFUL INMATES) (1)
CORMIS Printout of the Age of all Prisoners Confined in the last 36 Months
- Interviews
 - CO & PREA Compliance Manager
 - Onsite site inspection (potential areas for housing youthful prisoners)

Subsections (a, b) During the previous 12 months, the MCF has not housed a youthful prisoner. The MCF did confine a youthful female prisoner for about 1 month during 2019. In review of the documentation provided and staff interviews conducted, the facility followed the standard requirements in all material ways. The MCF confines active-duty Service members only; however, the United States Military can enlist members at 17 years old in rare circumstances. It is possible, although unlikely the facility will ever confine youthful prisoners. In the rare and unlikely instance that a prisoner under the age of 18 is confined, the MCF is prepared to house youthful offenders as covered in the policy and further corroborated through auditor interviews with the CO and PREA Compliance Manager. The MCF will comply with PREA standards pertaining to youthful prisoners (115.14) and notify the PREA Compliance Manager as soon as possible but no later than the end of the shift. Youthful prisoners will not be placed in a housing unit where the youthful prisoners will have sight, sound, or physical contact with any adult prisoner through the use of a shared dayroom or other common space, shower area, or sleeping quarters (§115.14(a)-1). Youthful prisoners shall be housed separately (§115.14(a)-2). Assignment to restrictive housing status does not meet this standard. Direct supervision is a requirement when a youthful prisoner is outside of his or her housing unit.

Subsection (c) A review of agency policy and auditor interviews conducted with the CO and PREA Compliance Manager confirmed the MCF would not place youthful prisoners into segregation to comply with the provisions of the standard. The INDOC Youthful Housing Unit (YHU) is the default location for youthful offenders if ever required. Restrictions to the cell and access to programs and services shall be commensurate to that of the general population. In absent exigent circumstances, youthful prisoners are permitted to participate in the large-muscle exercise, any legally required special education services, program, and work opportunities. For each instance where youthful prisoners are denied the requirements above, the CDO will document the exigent circumstances for the denial in each CDO Report in accordance with (115.14(c)-1).

Standard 115.15: Limits to cross-gender viewing and searches

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.15 (a)

- Does the facility always refrain from conducting any cross-gender strip or cross-gender visual body cavity searches, except in exigent circumstances or by medical practitioners?
 Yes No

115.15 (b)

- Does the facility always refrain from conducting cross-gender pat-down searches of female inmates, except in exigent circumstances? (N/A if the facility does not have female inmates.)
 Yes No NA
- Does the facility always refrain from restricting female inmates' access to regularly available programming or other out-of-cell opportunities in order to comply with this provision? (N/A if the facility does not have female inmates.) Yes No NA

115.15 (c)

- Does the facility document all cross-gender strip searches and cross-gender visual body cavity searches? Yes No
- Does the facility document all cross-gender pat-down searches of female inmates? (N/A if the facility does not have female inmates.) Yes No NA

115.15 (d)

- Does the facility have policies that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? Yes No
- Does the facility have procedures that enables inmates to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts, buttocks, or genitalia, except in exigent circumstances or when such viewing is incidental to routine cell checks? Yes No
- Does the facility require staff of the opposite gender to announce their presence when entering an inmate housing unit? Yes No

115.15 (e)

- Does the facility always refrain from searching or physically examining transgender or intersex inmates for the sole purpose of determining the inmate's genital status? Yes No
- If an inmate's genital status is unknown, does the facility determine genital status during conversations with the inmate, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical practitioner? Yes No

115.15 (f)

- Does the facility/agency train security staff in how to conduct cross-gender pat down searches in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? Yes No
- Does the facility/agency train security staff in how to conduct searches of transgender and intersex inmates in a professional and respectful manner, and in the least intrusive manner possible, consistent with security needs? Yes No

Auditor Overall Compliance Determination

Exceeds Standard (*Substantially exceeds requirement of standards*)

- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Accountability Log sheet (This document reflects that the facility does not restrict female prisoners' access to out-of-cell opportunities.)
- Prevention and Treatment Against Impulsive Contraband Disorder (Searches) Training Curriculum
- MCF - Miramar Training Attendance Roster
- DEPARTMENT OF THE NAVY - NAVAL CONSOLIDATED MCF MIRAMAR (MEMORANDUM FOR THE RECORD)
- Interviews
 - PREA Compliance Manager
 - Random Staff
 - Random Prisoners

Subsections (a)(c) Agency policy states facility staff shall not conduct cross-gender strip searches or cross-gender visual body searches (meaning a search of the anal/genital opening) except in exigent. Policy further states Cross-gender frisk searches of prisoners are not permitted except in exigent circumstances. Cross-gender frisk searches (when meeting the exigent circumstances exception and approved by the XO) shall be documented in the MCF Log and identified as a significant event (red inked and highlighted) and annotated in the CDO Report circumstances (to ensure safety or to preserve evidence) or when performed by a medical practitioner. According to the PAQ and the Auditor's interview with the facility PREA Compliance Manager, the facility has not conducted any cross-gender frisk or strip searches during the audit period. Agency Policy further states Cross-gender strip searches (when meeting the exigent circumstances exception and approved by the XO) shall be annotated in the MCF Strip Search Log, MCF Log, and CDO Report. Cross-gender body cavity searches performed shall be documented in the MCF Log, CDO Report, and prisoner medical record.

Subsection (b) Agency policy states the facility does not permit cross-gender pat-down searches of female inmates absent exigent circumstances and does not restrict female prisoners' access to regularly available programming or other out-of-cell opportunities in order to comply with the provision of this standard. During the past 12 months the MCF has not restricted female prisoners' access to out-of-cell opportunities. Auditor interviews conducted with random staff and female prisoners confirmed the aforementioned.

Subsection (d) Agency policy states and was further confirmed through the Auditor's interview with the PREA Compliance Manager and random staff that the facility enables prisoners to shower, perform bodily functions, and change clothing without nonmedical staff of the opposite gender viewing their breasts,

buttocks, or genitalia, except in exigent circumstances or when viewing is incidental to routine dorm or cell checks to include viewing via video cameras. Facility staff of the opposite gender will announce their presence when entering a prisoner housing unit (e.g., "MALE ON DECK" when entering Female Housing Unit/Female Segregation Unit, or "FEMALE ON DECK" when entering Male Housing Unit or Male RHU). The Auditor confirmed this practice during interviews conducted with prisoners and observed both male and female staff announcing their presence when entering housing areas of the opposite gender.

Subsection (e) Agency policy indicates facility staff shall not search or physically examine a transgender, intersex, or gender non-conforming prisoner for the sole purpose of determining the prisoner's genital status. Upon initial receipt and prior to a search, if the prisoner's genital status is unknown, it may be determined during conversations with the prisoner, by reviewing medical records, or, if necessary, by learning that information as part of a broader medical examination conducted in private by a medical officer. Furthermore, the transgender, intersex, or gender non-conforming prisoner may be asked which gender staff member they would be most comfortable with conducting the search; such preference shall be considered along with all information available; in clearly questionable situations, medical personnel is authorized to search regardless of gender. While onsite the MCF housed one transgender prisoner. The auditor interviewed the prisoner and she advised that the facility staff have been very accommodating to her needs and has not experienced any problems since being at the MCF. Interviews conducted with the PREA Compliance Manager and facility staff indicated to the auditor when the facility receives a transgender prisoner, they are prepared to manage the prisoner according to the requirements of the standard.

Subsection (f) The agency policy states and was further corroborated through Auditor interviews with the PREA Compliance Manager, a random sample of staff, and the review of provided training documentation training log-sheets. The facility training officer ensures annual training of all security staff in conducting exigent cross-gender frisk searches and searches of transgender and intersex prisoners professionally and respectfully and in the least intrusive manner possible consistent with security needs. The Auditor reviewed the facility's training curriculum for searches, "Prevention and Treatment Against Impulsive Contraband Disorder (Searches) Training Curriculum," and found that it meets the requirement of the standard.

Standard 115.16: Inmates with disabilities and inmates who are limited English proficient

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.16 (a)

- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are deaf or hard of hearing? Yes No
- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who are blind or have low vision? Yes No
- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have intellectual disabilities? Yes No

- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have psychiatric disabilities? Yes No
- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: inmates who have speech disabilities? Yes No
- Does the agency take appropriate steps to ensure that inmates with disabilities have an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment, including: Other (if "other," please explain in overall determination notes)? Yes No
- Do such steps include, when necessary, ensuring effective communication with inmates who are deaf or hard of hearing? Yes No
- Do such steps include, when necessary, providing access to interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? Yes No
- Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have intellectual disabilities? Yes No
- Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Have limited reading skills? Yes No
- Does the agency ensure that written materials are provided in formats or through methods that ensure effective communication with inmates with disabilities including inmates who: Are blind or have low vision? Yes No

115.16 (b)

- Does the agency take reasonable steps to ensure meaningful access to all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment to inmates who are limited English proficient? Yes No
- Do these steps include providing interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary? Yes No

115.16 (c)

- Does the agency always refrain from relying on inmate interpreters, inmate readers, or other types of inmate assistance except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the inmate's safety, the performance of first-response duties under §115.64, or the investigation of the inmate's allegations? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- Directive-Prisoner disability requirements
- Directive - PRISONERS WHO HAVE LIMITED ENGLISH PROFICIENCY AND USE OF INTERPRETERS AND DISABILITY ACCESS
- PREA Pamphlets (English & Spanish)
- Interviews
 - Commanding Officer (CO)

Subsection (a) Agency policy indicates that the agency has established procedures to provide disabled inmates an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment in accordance with subsection (a) requirement. The Auditor interviewed the CO and PREA Compliance Manager, who elaborated on the procedures and mechanisms that are in place to provide disabled prisoners an opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. Caption phone lines are not recorded, and legal calls and other privileged calls, including reporting of sexual abuse and sexual harassment, are not supervised. The Prisoner Management Director will consult with the PREA Compliance Manager to ensure such prisoners have commensurate phone access (for PREA reporting) as those without disability. There were no limited English, deaf, hard of hearing, blind or low vision, intellectual, psychiatric, or speech disabilities prisoners at the MCF during the site visit.

Subsection (b) Agency policy indicates, and it was further corroborated through auditor interviews with the CO and PREA Compliance Manager the agency has established procedures to provide inmates with limited English proficiency an equal opportunity to participate in or benefit from all aspects of the agency's efforts to prevent, detect, and respond to sexual abuse and sexual harassment. While onsite of the eighteen (31) prisoners interviewed, none were considered disabled and did not require the use of any interpretive service. The facility provides the necessary steps to ensure meaningful access to all aspects of the facility's efforts to prevent, detect, and respond to sexual abuse and sexual harassment

involving prisoners who are limited English proficient, including steps to provide interpreters who can interpret effectively, accurately, and impartially, both receptively and expressively, using any necessary specialized vocabulary. Linguistic services may be accessed via Military One Source via <http://militaryonesource.mil> or call 1- (800) 342-9647.

Subsection (c) Policy states and the auditor confirmed through interviews with the PREA Compliance Manager and random staff that prisoner interpreters, prisoner readers, or other types of prisoner assistants are prohibited except in limited circumstances where an extended delay in obtaining an effective interpreter could compromise the prisoner's safety, the performance of first-response duties, or the investigation of the prisoner's allegations. In the previous 12 months, the facility has reported no instances where prisoner interpreters, readers, or other types of prisoner assistants have been used.

Standard 115.17: Hiring and promotion decisions

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.17 (a)

- Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? Yes No
- Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? Yes No
- Does the agency prohibit the hiring or promotion of anyone who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the question immediately above? Yes No
- Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997)? Yes No
- Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse? Yes No
- Does the agency prohibit the enlistment of services of any contractor who may have contact with inmates who has been civilly or administratively adjudicated to have engaged in the activity described in the question immediately above? Yes No

115.17 (b)

- Does the agency consider any incidents of sexual harassment in determining whether to hire or promote anyone who may have contact with inmates? Yes No

- Does the agency consider any incidents of sexual harassment in determining whether to enlist the services of any contractor who may have contact with inmates? Yes No

115.17 (c)

- Before hiring new employees, who may have contact with inmates, does the agency perform a criminal background records check? Yes No
- Before hiring new employees who may have contact with inmates, does the agency, consistent with Federal, State, and local law, make its best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse? Yes No

115.17 (d)

- Does the agency perform a criminal background records check before enlisting the services of any contractor who may have contact with inmates? Yes No

115.17 (e)

- Does the agency either conduct criminal background records checks at least every five years of current employees and contractors who may have contact with inmates or have in place a system for otherwise capturing such information for current employees? Yes No

115.17 (f)

- Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in written applications or interviews for hiring or promotions? Yes No
- Does the agency ask all applicants and employees who may have contact with inmates directly about previous misconduct described in paragraph (a) of this section in any interviews or written self-evaluations conducted as part of reviews of current employees? Yes No
- Does the agency impose upon employees a continuing affirmative duty to disclose any such misconduct? Yes No

115.17 (g)

- Does the agency consider material omissions regarding such misconduct, or the provision of materially false information, grounds for termination? Yes No

115.17 (h)

- Does the agency provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work? (N/A if providing information on

substantiated allegations of sexual abuse or sexual harassment involving a former employee is prohibited by law.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PREA-NAVAL CONSOLIDATED MCF MIRAMAR STANDARD OPERATING PROCEDURES
- Prison Rape Elimination Act (PREA) Questionnaire
- DEPARTMENT OF THE NAVY NAVAL CONSOLIDATED MCF MIRAMAR - MEMORANDUM FOR THE RECORD

Subsection (a)(b)(c)(d)(e)(f)(g)(h) The auditor reviewed the facility PREA - Standard Operating Procedures policy which prohibits hiring or promoting anyone who may have contact with prisoners and prohibits enlisting the services of any contractor who may have contact with prisoners who: (1). Has engaged in sexual abuse in a prison, jail, lockup, community confinement facility, juvenile facility, or other institution (as defined in 42 U.S.C. 1997); (2). Has been convicted of engaging or attempting to engage in sexual activity in the community facilitated by force, overt or implied threats of force, or coercion, or if the victim did not consent or was unable to consent or refuse; or (3). Has been civilly or administratively adjudicated to have engaged in the activity described in paragraph (a)(2) of this section.

Submitted with the PAQ and while onsite, the auditor reviewed employee files of persons hired and promoted in the past 12 months to determine whether criminal record background checks have been conducted and questions regarding past conduct were asked and answered. The auditor found that the facility is meeting the standards subsection requirement. Additionally, MCF PREA Standard Operation Procedures, indicates the facility shall consider any incidents of sexual harassment in determining whether to hire, retain, promote anyone, or enlist the services of any contractor who may have contact with prisoners. The auditor confirmed the aforementioned through an interview with PREA Compliance Manager.

PREA - Standard Operation Procedures state the MCF will; (1). Conduct a National Crime Information Center (NCIC) criminal background records check. (2); and Consistent with Federal, State, and local law, make best efforts to contact all prior institutional employers for information on substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse. The MCF liaison shall conduct a criminal background records check (NCIC) via Miramar before the facility enlists the services of any contractor who may have contact with prisoners. MCF - Miramar's Operations Officer shall conduct criminal background records checks at least every five years of current staff, contractors, and volunteers who may have contact with prisoners or have in place a system for otherwise capturing such information for current staff. All applicants and staff who may have contact with prisoners are asked about previous misconduct described in written applications or interviews for hiring or promotions and any interviews or written self-evaluations conducted as part of reviews of current employees. The BUPERS-00D and the MCF imposes a continuing affirmative duty to disclose any such misconduct. MCF - Miramar's Executive Officer shall review all proposed staff assignments in advance for standards compliance. Military members deemed unqualified per the provisions of paragraph (a) above shall not be recommended for promotion/advancement. Material omissions regarding such misconduct, or the provision of materially false information, shall be grounds for termination. Appropriate administrative or disciplinary actions shall be referred to the applicable civilian or military activity for action. Unless determined prohibited by law, BUPERS-00D shall provide information on substantiated allegations of sexual abuse or sexual harassment involving a former employee upon receiving a request from an institutional employer for whom such employee has applied to work. The Director, Bureau of Naval Personnel (BUPERS), Total Force Human Resource Office (BUPERS-05), and NAVPERSCOM Office of Legal Counsel (PERS-00J) will review and notify BUPERS-00D of any laws prohibiting disclosure of the information on all cases. Upon receiving the request for that information from the institutional employer, this facility will forward it to BUPERS-00D via MCF - Miramar, BUPERS-05, and PERS-00J, respectively.

In the past 12 months, there have been no employee criminal background checks, security clearance investigations, or employee questionnaires reflected substantiated allegations of sexual abuse or any resignation during a pending investigation of an allegation of sexual abuse from prior institutions; the facility had no documentation, in accordance to PREA Standard 115.17c(2), consistent with Federal, State, and local law, made any efforts to contact all prior institutional employers for information on such incidents. If background checks, security clearance, or other sources of information were to reflect such information, the MCF will not only make all efforts to contact prior institutional employers, they would likely not allow employment of such individuals. Submitted with PAQ and while onsite the auditor reviewed employee personnel files and determined the facility is meeting the standards requirement in all material ways.

Standard 115.18: Upgrades to facilities and technologies

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.18 (a)

- If the agency designed or acquired any new facility or planned any substantial expansion or modification of existing facilities, did the agency consider the effect of the design, acquisition, expansion, or modification upon the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not acquired a new facility or made a substantial expansion to existing facilities since August 20, 2012, or since the last PREA audit, whichever is later.)
 Yes No NA

115.18 (b)

- If the agency installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology, did the agency consider how such technology may enhance the agency's ability to protect inmates from sexual abuse? (N/A if agency/facility has not installed or updated a video monitoring system, electronic surveillance system, or other monitoring technology since August 20, 2012, or since the last PREA audit, whichever is later.)
 Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- DEPARTMENT OF THE NAVY NAVAL CONSOLIDATED MCF MIRAMAR - MEMORANDUM FOR THE RECORD
- Interviews
 - Agency-Wide PREA Coordinator
 - Commanding Officer (CO)
 - PREA Compliance Manager

Subsections (a)(b) Agency policy states when designing or acquiring any new facility and in planning any substantial expansion or modification of existing facilities, the Architectural Review Board and NAVFAC Design Staff shall consider the effect of the design, acquisition, expansion, or modification upon the facility's ability to protect prisoners from sexual abuse. When installing or updating a video monitoring system, electronic surveillance system, or other monitoring technology, the facility shall consider how such technology may enhance the facility's ability to protect prisoners from sexual abuse. Facilities and technology upgrades must be coordinated with MCF - Miramar's Facilities Management, Information Technology, and Financial Management.

Interviews with the CO and PREA Compliance Manager indicated the MCF has made updates to the facility's video monitoring system. The Auditor was provided with documentation that reflected the facility

considered prisoners overall safety, including protecting prisoners from sexual abuse in planning this technology upgrade.

RESPONSIVE PLANNING

Standard 115.21: Evidence protocol and forensic medical examinations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.21 (a)

- If the agency is responsible for investigating allegations of sexual abuse, does the agency follow a uniform evidence protocol that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.)
 Yes No NA

115.21 (b)

- Is this protocol developmentally appropriate for youth where applicable? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) Yes No NA
- Is this protocol, as appropriate, adapted from or otherwise based on the most recent edition of the U.S. Department of Justice's Office on Violence Against Women publication, "A National Protocol for Sexual Assault Medical Forensic Examinations, Adults/Adolescents," or similarly comprehensive and authoritative protocols developed after 2011? (N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations.) Yes No NA

115.21 (c)

- Does the agency offer all victims of sexual abuse access to forensic medical examinations, whether on-site or at an outside facility, without financial cost, where evidentiarily or medically appropriate? Yes No
- Are such examinations performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible? Yes No
- If SAFEs or SANEs cannot be made available, is the examination performed by other qualified medical practitioners (they must have been specifically trained to conduct sexual assault forensic exams)? Yes No
- Has the agency documented its efforts to provide SAFEs or SANEs? Yes No

115.21 (d)

- Does the agency attempt to make available to the victim a victim advocate from a rape crisis center? Yes No
- If a rape crisis center is not available to provide victim advocate services, does the agency make available to provide these services a qualified staff member from a community-based organization, or a qualified agency staff member? (N/A if the agency *always* makes a victim advocate from a rape crisis center available to victims.) Yes No NA
- Has the agency documented its efforts to secure services from rape crisis centers? Yes No

115.21 (e)

- As requested by the victim, does the victim advocate, qualified agency staff member, or qualified community-based organization staff member accompany and support the victim through the forensic medical examination process and investigatory interviews? Yes No
- As requested by the victim, does this person provide emotional support, crisis intervention, information, and referrals? Yes No

115.21 (f)

- If the agency itself is not responsible for investigating allegations of sexual abuse, has the agency requested that the investigating agency follow the requirements of paragraphs (a) through (e) of this section? (N/A if the agency/facility is responsible for conducting criminal AND administrative sexual abuse investigations.) Yes No NA

115.21 (g)

- Auditor is not required to audit this provision.

115.21 (h)

- If the agency uses a qualified agency staff member or a qualified community-based staff member for the purposes of this section, has the individual been screened for appropriateness to serve in this role and received education concerning sexual assault and forensic examination issues in general? (N/A if agency *always* makes a victim advocate from a rape crisis center available to victims.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- NAVY TACTICS, TECHNIQUES, AND PROCEDURES (LAW ENFORCEMENT AND PHYSICAL SECURITY NTP 3-07.2.3)
- DEPARTMENT OF THE NAVY BUREAU OF MEDICINE AND SURGERY (SEXUAL ASSAULT PREVENTION AND RESPONSE MEDICAL-FORENSIC PROGRAM)
- MEMORANDUM FOR THE RECORD (OFFICER IN CHARGE FINDINGS AFTER REVIEW OF EVIDENCE AND INVESTIGATION OF SEXUAL ASSAULT CASE CONTROL NUMBER: 20180719-30849-0001)
- MEMORANDUM OF AGREEMENT WITH (DEPUTY CHIEF OF NAVAL OPERATIONS, MANPOWER, PERSONNEL, TRAINING AND EDUCATION / CHIEF OF NAVAL PERSONNEL U.S. NAVY AND SURGEON GENERAL OF THE NAVY / CHIEF, BUREAU OF MEDICINE AND SURGERY U.S. NAVY AND DIRECTOR, NAVAL CRIMINAL INVESTIGATIVE SERVICE FOR COMPLIANCE WITH NATIONAL PRISON RAPE ELIMINATION ACT STANDARDS
- Interviews
 - Random Staff
 - SAFE/SANE Staff
 - PREA Compliance Manager

Subsection (a) Allegations regarding sexual abuse and sexual harassment shall be referred to the Naval Criminal Investigative Service. NCIS will follow its protocols for the collection of evidence. Facility staff shall secure the scene, if applicable, until investigators arrive. The referral shall be documented, at a minimum, by email with a reply. 115.21(a)-1) is not applicable to this facility because NCIS (outside agency) is responsible for investigating all allegations of sexual abuse; NCIS may bump sexual harassment claims to the facility, usually or cases they consider non-criminal complaints; to this extent, which the facility is responsible for investigating allegations of sexual harassment, the Command Investigators shall follow PREA, NCIS, and Navy Bureau of Medicine and Surgery (BUMED) protocols for evidence collection and medical examinations for administrative proceedings and criminal prosecutions. The auditor confirmed the above mentioned through interviews with random staff, facility investigator/PREA Compliance Manager and the review of completed sexual abuse reports during the previous 12 months.

Subsection (b) 115.21(b)-1 is not applicable to this facility because neither this facility nor the agency is responsible for investigating sexual abuse claims by youthful prisoners. For youthful prisoners, NCIS follows appropriate uniform evidence protocols that maximizes the potential for obtaining usable physical evidence for administrative proceedings and criminal prosecutions.

Subsections (c)(d)(e) In a review of the agency and facility policies, and auditor interviews conducted with a SAFE/SANE representative, CO and PREA Compliance Manager confirmed the MCF offers all victims of sexual abuse access to forensic medical examinations at an outside qualified medical facility, without financial cost, where evidentiary or medically appropriate. The facility refers all prisoners of sexual abuse to

outside Naval Medical Center, San Diego where qualified practitioners are available in accordance with BUMEDINST 6310.11A. Such examinations will be performed by Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiners (SANEs) where possible. If SAFEs or SANEs cannot be made available; the examination can be performed by other qualified medical practitioners; in such cases, the facility shall document the efforts first to provide SAFEs or SANEs. The health care professionals at those facilities will document patient history, injuries and decide if referral to a mental health facility other than the MCF is required. The Health Authority will ensure such documentation is maintained in the prisoner's medical record. Counseling for sexually transmitted infection, treatment, and follow-up will be conducted as appropriate. Reports of sexual abuse and sexual harassment will be made to the Commanding Officer via Executive Officer to assure separation of the victim from their assailant. According to the CO and PREA Compliance Manager, there have been no sexual assaults to have occurred at the facility who required a forensic medical examination. If required, prisoners will be immediately transported to an Emergency Room for examination and treatment by a Sexual Assault Forensic Examiners (SAFEs) or Sexual Assault Nurse Examiner (SANEs). If returned to the MCF, a Discharge summary to include note that prisoner was provided treatment by SAFEs or SANEs will be given to medical personnel for further treatment and management. When SANE's or SAFE's are not available, a qualified medical practitioner performs forensic medical examinations. As always, all medical services for military prisoners is free of charge. The facility reported six sexual misconduct cases during the past (12) months and upon review the auditor determined the investigation was completed in accordance with the standard. Policy states and the auditor confirmed through interviews conducted with a SAFE/SANE representative and the facility PREA Compliance Manager, upon learning of a sexual assault, the first staff member that receives a report of the sexual assault shall immediately notify the respective Service Unit Victim Advocate (UVA) and Response Coordinator (SARC) of the incident. The UVA will offer support to accompany the victim through the forensic medical exam process and investigatory interviews; the MCF UVA may need to perform this function and provide necessary support until hand-off to the prisoner's unit UVA can be executed. Victims may also request emotional support services, crisis intervention, information, and referrals anonymously via the Safe Helpline (Operated by the Rape, Abuse & Incest National Network (RAINN)) at (877)995-5247. The Safe Helpline 24-hour hotline will be made available to prisoners via the prisoner telephone system and shall not be recorded. The PREA Compliance Manager, in cooperation with the Shared Services Officer (Miramar), shall make and document (e.g., email, letter, MOU, MOA, etc.) efforts to obtain rape crisis center services in the local community. The PREA Compliance Manager maintains copies of agreements or documentation. The Auditor was provided and reviewed these documents and found that they meet the standards requirements. If a rape crisis center is not available, the Uniformed Victim Advocate (UVA) or Sexual Assault Prevention and Response (SAPR) representative shall provide emotional support, crisis intervention, information, and referrals, and document all efforts to support the victim (e.g., email, log, letter, etc.). When requested by the victim, the uniformed victim advocate (UVA), qualified facility staff member, qualified community-based organization member, or a combination thereof, shall accompany and support the victim through the forensic medical examination process and investigatory interviews and shall provide emotional support, crisis intervention, information, and referrals. While onsite there were no prisoners identified who reported an allegation of sexual abuse to interview.

Subsection (f) To the extent the facility is not responsible for investigating allegations of sexual abuse and sexual harassment, BUPERS-00D has requested that the investigating agency (NCIS) follow the requirements of paragraphs (a) through (f) of this section. The auditor was provided and reviewed this documentation and found that it meets the standards requirement I all material ways.

Subsection (h) Policy review and auditor interviews conducted with PREA Compliance Manager confirmed, a qualified facility staff member is, but is not limited to, a Uniformed Victim Advocate (UVA) or SAPR coordinator. These individuals are screened through the SAPR Program Manager and the installation law enforcement agency and have received 40 hours of education concerning sexual assault and forensic examination issues in general before undertaking duties within the facility. The auditor was provided and reviewed the above noted documentation, completed training, and found that it meets the standards requirement.

Standard 115.22: Policies to ensure referrals of allegations for investigations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.22 (a)

- Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual abuse? Yes No
- Does the agency ensure an administrative or criminal investigation is completed for all allegations of sexual harassment? Yes No

115.22 (b)

- Does the agency have a policy and practice in place to ensure that allegations of sexual abuse or sexual harassment are referred for investigation to an agency with the legal authority to conduct criminal investigations, unless the allegation does not involve potentially criminal behavior? Yes No
- Has the agency published such policy on its website or, if it does not have one, made the policy available through other means? Yes No
- Does the agency document all such referrals? Yes No

115.22 (c)

- If a separate entity is responsible for conducting criminal investigations, does the policy describe the responsibilities of both the agency and the investigating entity? (N/A if the agency/facility is responsible for criminal investigations. See 115.21(a).) Yes No NA

115.22 (d)

- Auditor is not required to audit this provision.

115.22 (e)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Agency website (Official website with PREA Compliance Policy to ensure that allegations of sexual abuse or sexual harassment are referred for investigation.)
- MEMORANDUM OF AGREEMENT (DIRECTOR, NAVAL CRIMINAL INVESTIGATIVE SERVICE)
- DEPARTMENT OF THE NAVY - NAVAL CONSOLIDATED MCF MIRAMAR (MOU - PREA STANDARD § 115.22 (a)-2, -3, -4, and 5 § 115.22(b))

Subsection (a)(b)(c) Agency policy states, all allegations of sexual abuse and sexual harassment, regardless of severity or merit, will be immediately reported to the Director, Naval Criminal Investigative Service (NCIS). The auditor confirmed this procedure and practice through interviews with the Agency PREA Coordinator, facility CO, and facility PREA Compliance Manager/investigator. The facility is required to document all referrals. The facility's policy ensures that reported allegations of sexual abuse and sexual harassment are referred to NCIS for investigation or an appropriate Military Criminal Investigation Organization. Should NCIS decline investigative jurisdiction (the case is sexual harassment), the facility shall conduct an investigation using qualified investigators. The Memorandum of Agreement between NCIS and the Agency describes both entities' responsibilities on the agency website (<https://www.mynavyhr.navy.mil/Support-Services/Corrections-Programs/Brigs/Miramar/Prison-Rape-Elimination-Act/>). The auditor reviewed the information posted on the agency website and found that it conforms to standards requirement. In the past (12) months, the MCF has received 6 allegations of sexual misconduct. The auditor reviewed both criminal and administrative investigations and determined that they were completed per the standards requirement.

TRAINING AND EDUCATION

Standard 115.31: Employee training

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.31 (a)

- Does the agency train all employees who may have contact with inmates on its zero-tolerance policy for sexual abuse and sexual harassment? Yes No

- Does the agency train all employees who may have contact with inmates on how to fulfill their responsibilities under agency sexual abuse and sexual harassment prevention, detection, reporting, and response policies and procedures? Yes No
- Does the agency train all employees who may have contact with inmates on inmates' right to be free from sexual abuse and sexual harassment Yes No
- Does the agency train all employees who may have contact with inmates on the right of inmates and employees to be free from retaliation for reporting sexual abuse and sexual harassment? Yes No
- Does the agency train all employees who may have contact with inmates on the dynamics of sexual abuse and sexual harassment in confinement? Yes No
- Does the agency train all employees who may have contact with inmates on the common reactions of sexual abuse and sexual harassment victims? Yes No
- Does the agency train all employees who may have contact with inmates on how to detect and respond to signs of threatened and actual sexual abuse? Yes No
- Does the agency train all employees who may have contact with inmates on how to avoid inappropriate relationships with inmates? Yes No
- Does the agency train all employees who may have contact with inmates on how to communicate effectively and professionally with inmates, including lesbian, gay, bisexual, transgender, intersex, or gender nonconforming inmates? Yes No
- Does the agency train all employees who may have contact with inmates on how to comply with relevant laws related to mandatory reporting of sexual abuse to outside authorities? Yes No

115.31 (b)

- Is such training tailored to the gender of the inmates at the employee's facility? Yes No
- Have employees received additional training if reassigned from a facility that houses only male inmates to a facility that houses only female inmates, or vice versa? Yes No

115.31 (c)

- Have all current employees who may have contact with inmates received such training? Yes No
- Does the agency provide each employee with refresher training every two years to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures? Yes No
- In years in which an employee does not receive refresher training, does the agency provide refresher information on current sexual abuse and sexual harassment policies? Yes No

115.31 (d)

- Does the agency document, through employee signature or electronic verification, that employees understand the training they have received? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Pre-Service Training/ Orientation Schedule
- Staff – certificates of PREA training (NIC-Your Role Responding to Sexual Abuse upon arriving to facility as part of Pre-Service Indoctrination course
- Attendance Roster – All staff record of annual training for all staff members.
- PREA Curriculum – NIC “PREA YOUR ROLE”

Subsections (a)(b) Agency policy states and the auditor confirmed through random staff interviews and a review of completed staff training documentation that all employees receive PREA educational training in accordance with the standards requirement. Training includes individual completion of the NIC course “PREA: Your Role Responding to Sexual Abuse” at <https://nic.learn.com> and the supplemental facility-specific training. Employees trained by NCTI-based instructors before signing this policy shall adhere to this policy during subsequent refresher training. Facility supplemental training will cover at a minimum all topics delineated within the standard. Facility training is tailored to the gender of prisoners at the facility, both male and female. Staff training reflects a mixed-gender mission and staffing. Staff shall receive additional training if the employee is reassigned from a facility that houses only female prisoners and vice versa. Interviews with staff clearly demonstrated the staff have been adequately trained and are aware of the significance of PREA.

Subsections (c)(d) All staff shall receive PREA training during pre-service training. The facility provides each employee with refresher training every year to ensure that all employees know the agency's current sexual abuse and sexual harassment policies and procedures. The facility's practice in providing PREA training exceeds the standards required of receiving refresher training every two years. The facility Training officer documents that all staff members understand the training with the PREA Staff Training Acknowledgement form. The Training officer maintains an electronic copy of the training certificates in the

individual training records for each staff member. The auditor verified the above mentioned by reviewing staff training documentation submitted with the PAQ and additional staff training documentation review while onsite and interview conducted with random staff and the Training officer.

Standard 115.32: Volunteer and contractor training

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.32 (a)

- Has the agency ensured that all volunteers and contractors who have contact with inmates have been trained on their responsibilities under the agency's sexual abuse and sexual harassment prevention, detection, and response policies and procedures? Yes No

115.32 (b)

- Have all volunteers and contractors who have contact with inmates been notified of the agency's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents (the level and type of training provided to volunteers and contractors shall be based on the services they provide and level of contact they have with inmates)? Yes No

115.32 (c)

- Does the agency maintain documentation confirming that volunteers and contractors understand the training they have received? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)

- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Volunteer/Contractor (PRISONER CONTACT) PREA Training Acknowledgement Form
- Facility – Directive form the CO - AUTHORIZED VOLUNTEERS

Subsections (a)(b)(c) Agency policy states all volunteers and contractors who have contact with prisoners shall be trained on their responsibilities under the facility's sexual abuse and sexual harassment prevention, detection, and response policies and procedures. This training is to be provided by a qualified instructor and prior to any unescorted contact with prisoners. The level and type of training provided to volunteers, contractors, and interns shall be based on the services they provide and the level of contact they have with prisoners, but all volunteers, contractors, and interns who have contact with prisoners shall be notified of the facility's zero-tolerance policy regarding sexual abuse and sexual harassment and informed how to report such incidents. There are two types of volunteers or level of PREA training that facility staff receive. Volunteers and contractors with - No Prisoner Access receive the basic PREA education on the facility's zero-tolerance policy and procedures. The facility Training officer maintains documentation confirming that volunteers, contractors, and interns (who will have contact with prisoners) have received and understand PREA training. No volunteer or contractor at the facility shall ever have unsupervised access to prisoners. The Training officer maintains a copy of the Visitor/Contractor/Intern training acknowledgment form within individual training files for each staff member or volunteer with access to prisoners. An electronic copy of the Visitor/Contractor/Intern training acknowledgment form is forwarded to the PREA Compliance Manager for file backup in case needed for an audit. The auditor verified this practice while onsite while reviewing training files for contractors permitted access to the MCF. During the past (12) months, the MCF has not employed any volunteers who may have contact with prisoners.

Standard 115.33: Inmate education

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.33 (a)

- During intake, do inmates receive information explaining the agency's zero-tolerance policy regarding sexual abuse and sexual harassment? Yes No
- During intake, do inmates receive information explaining how to report incidents or suspicions of sexual abuse or sexual harassment? Yes No

115.33 (b)

- Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from sexual abuse and sexual harassment? Yes No
- Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Their rights to be free from retaliation for reporting such incidents? Yes No
- Within 30 days of intake, does the agency provide comprehensive education to inmates either in person or through video regarding: Agency policies and procedures for responding to such incidents? Yes No

115.33 (c)

- Have all inmates received the comprehensive education referenced in 115.33(b)? Yes No
- Do inmates receive education upon transfer to a different facility to the extent that the policies and procedures of the inmate's new facility differ from those of the previous facility?
 Yes No

115.33 (d)

- Does the agency provide inmate education in formats accessible to all inmates including those who are limited English proficient? Yes No
- Does the agency provide inmate education in formats accessible to all inmates including those who are deaf? Yes No
- Does the agency provide inmate education in formats accessible to all inmates including those who are visually impaired? Yes No
- Does the agency provide inmate education in formats accessible to all inmates including those who are otherwise disabled? Yes No
- Does the agency provide inmate education in formats accessible to all inmates including those who have limited reading skills? Yes No

115.33 (e)

- Does the agency maintain documentation of inmate participation in these education sessions?
 Yes No

115.33 (f)

- In addition to providing such education, does the agency ensure that key information is continuously and readily available or visible to inmates through posters, inmate handbooks, or other written formats? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Excerpt from the prisoner Rules and Regulations Handbook is provided to indicate what material is made available to all prisoners upon confinement 115.33(a)-1, and maintained during their entire confinement. All prisoners are issued a book and is part of their inventory of items they are allowed to have readily available.
- Prison Rape Elimination Act Intake Information Sheet (for prisoners)
- Facility PREA educational pamphlet for prisoners
- Interviews
 - Intake Staff
 - Random Sample of Prisoners
 - Facility Inspection

Subsections (a)(b)(c)(d)(e)(f) Agency policy states, during the intake process, prisoners shall receive information explained orally and in writing, in a language clearly understood by the prisoner, the facility's zero-tolerance policy regarding sexual abuse and sexual harassment, prevention/intervention, self-protection, how to report incidents or suspicions of sexual abuse and sexual harassment, and treatment and counseling. While onsite, the auditor conducted a site inspection of the facility's intake and was given a comprehensive briefing on the intake process for prisoners arriving at the MCF. Interview with intake staff and random prisoners clearly demonstrated to the auditor; prisoners receive the required PREA information upon intake. The auditor further confirmed the PREA intake procedures by reviewing prisoner files, which demonstrated by prisoner signature acknowledging receiving PREA information upon intake and comprehensive PREA education.

Within thirty days of intake, the PREA Compliance Manager is responsible for providing comprehensive education to prisoners in person and through video regarding their rights to be free from sexual abuse and sexual harassment and to be free from retaliation for reporting such incidents, and regarding facility policies and procedures for responding to such incidents. If for any reason a prisoner has not received such education within the first 30 days, they shall receive the training subsequently (§115.33(c)-1); likewise, prisoners shall receive education upon receipt from another facility to the extent that the policies and procedures differ from those of the previous facility; this will be accomplished by requiring transfers to get the same training as new confinements. The facility provides prisoner education in formats accessible to all prisoners, including those who are limited English proficient, deaf, visually impaired, or otherwise disabled, as well as to prisoners who have limited reading skills. Upon completion of training, the Prisoner Management Department (PMD) ensures prisoners sign the PREA Prisoner Training Acknowledgement form and place the form in the prisoner's record. In addition to providing such education, PMD ensures that crucial information is continuously and readily available or visible to prisoners in housing units and other communal areas such as the galley through posters, Prisoner Rules and Regulations, or other written formats. While onsite, the auditor observed key information posted throughout the facility, including in prisoner living areas.

Standard 115.34: Specialized training: Investigations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.34 (a)

- In addition to the general training provided to all employees pursuant to §115.31, does the agency ensure that, to the extent the agency itself conducts sexual abuse investigations, its investigators receive training in conducting such investigations in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA

115.34 (b)

- Does this specialized training include techniques for interviewing sexual abuse victims? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA
- Does this specialized training include proper use of Miranda and Garrity warnings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA
- Does this specialized training include sexual abuse evidence collection in confinement settings? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA
- Does this specialized training include the criteria and evidence required to substantiate a case for administrative action or prosecution referral? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA

115.34 (c)

- Does the agency maintain documentation that agency investigators have completed the required specialized training in conducting sexual abuse investigations? (N/A if the agency does not conduct any form of administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA

115.34 (d)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)

Does Not Meet Standard (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Training Curriculum - National Institute of Corrections (NIC) titled "Investigating Sexual Abuse in a Confinement Setting."
- Training Certificates - PREA: Investigating Sexual Abuse in a Confinement Setting: Advanced Investigations
- DEPARTMENT OF THE NAVY NAVAL CONSOLIDATED BRIG MIRAMAR - MEMORANDUM FOR THE RECORD
- Training records/logs of investigative staff
- Interviews
 - PREA Compliance Manager
 - Facility Investigator

Subsection (a)(b)(c) (§115.34(a)-1) is not applicable in that the facility does not conduct sexual abuse investigations. However, in addition to the general training provided to all staff pursuant to 115.31, facility investigators have received training in conducting sexual abuse investigations in a confinement setting. The auditor was provided with the National Institute of Corrections (NIC) "Investigating Sexual Abuse in a Confinement Setting" course and the Advanced Investigations course, located at <http://nic.learn.com>; these two courses meet the minimum training requirements for this standard and staff completed training certificates. The auditor was also provided with staff training certificates for completing these courses. As noted, early, the facility does not conduct sexual abuse investigations. All sexual abuse and sexual harassment investigations are reported to NCIS. NCIS will conduct all sexual abuse investigations, review all sexual harassment reports, and determine if there is a criminal element. If not, the facility has two (2) trained investigators who will conduct an administrative investigation. In a review of the provided training curriculum, the auditor determined the specialized training includes techniques for interviewing sexual abuse victims, proper use of Miranda and Garrity warnings, sexual abuse evidence collection in confinement settings, and the criteria and evidence required to substantiate a case for administrative action or prosecution referral.

Subsection (d) portion of § 115.34 is not applicable as no State entity or Department of Justice component investigates sexual abuse in this facility; hence, training to its agents and investigators who conduct such investigations is not relevant.

Standard 115.35: Specialized training: Medical and mental health care

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.35 (a)

- Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to detect and assess signs of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) Yes No NA
- Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to preserve physical evidence of sexual abuse? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) Yes No NA
- Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how to respond effectively and professionally to victims of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) Yes No NA
- Does the agency ensure that all full- and part-time medical and mental health care practitioners who work regularly in its facilities have been trained in how and to whom to report allegations or suspicions of sexual abuse and sexual harassment? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) Yes No NA

115.35 (b)

- If medical staff employed by the agency conduct forensic examinations, do such medical staff receive appropriate training to conduct such examinations? (N/A if agency medical staff at the facility do not conduct forensic exams *or* the agency does not employ medical staff.)
 Yes No NA

115.35 (c)

- Does the agency maintain documentation that medical and mental health practitioners have received the training referenced in this standard either from the agency or elsewhere? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners who work regularly in its facilities.) Yes No NA

115.35 (d)

- Do medical and mental health care practitioners employed by the agency also receive training mandated for employees by §115.31? (N/A if the agency does not have any full- or part-time medical or mental health care practitioners employed by the agency.)
 Yes No NA
- Do medical and mental health care practitioners contracted by or volunteering for the agency also receive training mandated for contractors and volunteers by §115.32? (N/A if the agency

does not have any full- or part-time medical or mental health care practitioners contracted by or volunteering for the agency.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PREA: Your Role Responding to Sexual Abuse Presented by the National Institute of Corrections – Training Certificates
- Training Curriculum - National Institute of Corrections (NIC) titled PREA: Your Role Responding to Sexual Abuse Presented by the National Institute of Corrections
- DEPARTMENT OF THE NAVY NAVAL CONSOLIDATED BRIG MIRAMAR - MEMORANDUM FOR THE RECORD
- Interviews
 - Medical and Mental Health Staff

Subsection (a)(c)(d) Policy states agency medical and mental health care practitioners who regularly work in the facility shall receive the training mandated for staff under §115.31 and complete the NIC "Medical Health Care for Sexual Assault Victims in a Confinement Setting" and "Behavior Health Care for Sexual Assault. The auditor confirmed through the review of employee completed training documentation and curriculum that the requirements of; (1) How to detect and assess signs of sexual abuse and sexual harassment; (2) How to preserve physical evidence of sexual abuse; (3) How to respond effectively and professionally to victims of sexual abuse and sexual harassment; and (4) How and to whom to report allegations or suspicions of sexual abuse and sexual harassment are covered in accordance with the standards requirement. The auditor conducted interviews with MCF's assigned Medical Doctor and Mental Health Supervisor. Both interviews indicated to the auditor their knowledge of PREA and their role when dealing with allegations of sexual abuse and sexual harassment.

Subsection (b) 115.35 (b) is not applicable. Medical staff employed by this agency do not conduct forensic examinations. Any forensic examinations are conducted by an outside hospital medical personnel (which is not part of the agency), by certified SAFE or SANE nurses only.

SCREENING FOR RISK OF SEXUAL VICTIMIZATION AND ABUSIVENESS

Standard 115.41: Screening for risk of victimization and abusiveness

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.41 (a)

- Are all inmates assessed during an intake screening for their risk of being sexually abused by other inmates or sexually abusive toward other inmates? Yes No
- Are all inmates assessed upon transfer to another facility for their risk of being sexually abused by other inmates or sexually abusive toward other inmates? Yes No

115.41 (b)

- Do intake screenings ordinarily take place within 72 hours of arrival at the facility?
 Yes No

115.41 (c)

- Are all PREA screening assessments conducted using an objective screening instrument?
 Yes No

115.41 (d)

- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (1) Whether the inmate has a mental, physical, or developmental disability? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (2) The age of the inmate? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (3) The physical build of the inmate? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (4) Whether the inmate has previously been incarcerated?
 Yes No

- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (5) Whether the inmate's criminal history is exclusively nonviolent? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (6) Whether the inmate has prior convictions for sex offenses against an adult or child? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (7) Whether the inmate is or is perceived to be gay, lesbian, bisexual, transgender, intersex, or gender nonconforming (the facility affirmatively asks the inmate about his/her sexual orientation and gender identity AND makes a subjective determination based on the screener's perception whether the inmate is gender non-conforming or otherwise may be perceived to be LGBTI)? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (8) Whether the inmate has previously experienced sexual victimization? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (9) The inmate's own perception of vulnerability? Yes No
- Does the intake screening consider, at a minimum, the following criteria to assess inmates for risk of sexual victimization: (10) Whether the inmate is detained solely for civil immigration purposes? Yes No

115.41 (e)

- In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency, prior acts of sexual abuse? Yes No
- In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency, prior convictions for violent offenses? Yes No
- In assessing inmates for risk of being sexually abusive, does the initial PREA risk screening consider, as known to the agency, history of prior institutional violence or sexual abuse? Yes No

115.41 (f)

- Within a set time period not more than 30 days from the inmate's arrival at the facility, does the facility reassess the inmate's risk of victimization or abusiveness based upon any additional, relevant information received by the facility since the intake screening? Yes No

115.41 (g)

- Does the facility reassess an inmate's risk level when warranted due to a referral? Yes No

- Does the facility reassess an inmate's risk level when warranted due to a request? Yes No
- Does the facility reassess an inmate's risk level when warranted due to an incident of sexual abuse? Yes No
- Does the facility reassess an inmate's risk level when warranted due to receipt of additional information that bears on the inmate's risk of sexual victimization or abusiveness? Yes No

115.41 (h)

- Is it the case that inmates are not ever disciplined for refusing to answer, or for not disclosing complete information in response to, questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section? Yes No

115.41 (i)

- Has the agency implemented appropriate controls on the dissemination within the facility of responses to questions asked pursuant to this standard in order to ensure that sensitive information is not exploited to the inmate's detriment by staff or other inmates? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Prisoner Confinement order / Screening for Risk of Victimization and Abusiveness
- Facility Site Inspection
- Interviews
 - Staff Responsible for Risk Screening

- Random Sample of Prisoners
- Staff Responsible for Risk Screening
- Agency-Wide PREA Coordinator
- PREA Compliance Manager

Subsection (a)(b)(c)(d) Agency policy states all prisoners shall be assessed within 24 hours of arrival at the facility, utilizing the objective screening instrument (Screening for Risk of Victimization and Abusiveness Form) provided by the CDO; this applies to new intakes and transfers. The auditor reviewed the risk screening tool and found that it was an object screening tool containing all (10) elements required per the standard. During the site inspection, while in the intake area, the auditor received a comprehensive demonstration from intake staff on how a risk screening occurs when a prisoner arrives at the facility. While onsite, the auditor randomly selected (5) prisoner files to review their risk screening documentation upon entering the facility and their reassessment within thirty (30) days of arrival. Upon review, the auditor the risk screening is being completed in accordance with the standards requirement. Auditor interviews with staff responsible for conducting risk screenings and follow-up risk screenings were very well-versed in the procedures for performing such a screening.

Subsection (e) Policy states and the auditor confirmed through interviews with staff responsible for conducting risk screening, and the review of completed prisoner risk screening forms that the intake screening considers the following criteria to assess prisoners for risk of being sexually abusive. (1) Prior acts of sexual abuse; (2) Prior convictions for violent offenses; and (3) History of prior institutional violence or sexual abuse, as known to the facility.

Subsection (f) Policy states and the auditor confirmed through the review of completed risk prisoner screening forms; interviews conducted with staff responsible for completing risk assessments within fourteen (14) days of arrival at the facility, the Clinical Services assess the prisoner's risk of victimization or abusiveness. Interviews conducted with facility's prisoners indicated they received a follow-up risk screening within two weeks of arrival.

Subsection (g) Policy states and the auditor confirmed through the review of completed prisoner screening forms; interviews conducted with staff responsible for completing risk assessments and the Clinical Services Department Head, an assessment is completed due to a referral, request, incident of sexual abuse and sexual harassment, or receipt of additional information, or conviction that bears on the prisoner's risk of sexual victimization or abusiveness. The facility provided the auditor with completed risk assessments based on referrals and requests and found that they were conducted according to the standards' requirements.

Subsection (h) Agency policy prohibits and was further corroborated through interviews with staff responsible for completing risk screenings prisoners are not disciplined for refusing to answer or for not disclosing complete information in response to questions asked pursuant to paragraphs (d)(1), (d)(7), (d)(8), or (d)(9) of this section.

Subsection (i) Agency policy states the Risk of Victimization and Abusiveness Form shall be maintained within the clinical record. Dissemination of the information contained within the form shall be on a need-to-know basis (e.g., CO, XO, etc.) to ensure that sensitive information is not exploited to the prisoner's detriment by staff or other prisoners. The auditor confirmed the above mentioned through interviews with staff responsible for conducting risk assessments, the Agency-Wide PREA Coordinator, and the facility PREA Compliance Manager.

Standard 115.42: Use of screening information

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.42 (a)

- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Housing Assignments? Yes No
- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Bed assignments? Yes No
- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Work Assignments? Yes No
- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Education Assignments? Yes No
- Does the agency use information from the risk screening required by § 115.41, with the goal of keeping separate those inmates at high risk of being sexually victimized from those at high risk of being sexually abusive, to inform: Program Assignments? Yes No

115.42 (b)

- Does the agency make individualized determinations about how to ensure the safety of each inmate? Yes No

115.42 (c)

- When deciding whether to assign a transgender or intersex inmate to a facility for male or female inmates, does the **agency** consider, on a case-by-case basis whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems (NOTE: if an agency by policy or practice assigns inmates to a male or female facility on the basis of anatomy alone, that agency is not in compliance with this standard)? Yes No
- When making housing or other program assignments for transgender or intersex inmates, does the agency consider on a case-by-case basis whether a placement would ensure the inmate's health and safety, and whether a placement would present management or security problems? Yes No

115.42 (d)

- Are placement and programming assignments for each transgender or intersex inmate reassessed at least twice each year to review any threats to safety experienced by the inmate? Yes No

115.42 (e)

- Are each transgender or intersex inmate's own views with respect to his or her own safety given serious consideration when making facility and housing placement decisions and programming assignments? Yes No

115.42 (f)

- Are transgender and intersex inmates given the opportunity to shower separately from other inmates? Yes No

115.42 (g)

- Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: lesbian, gay, and bisexual inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) Yes No NA
- Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: transgender inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) Yes No NA
- Unless placement is in a dedicated facility, unit, or wing established in connection with a consent decree, legal settlement, or legal judgment for the purpose of protecting lesbian, gay, bisexual, transgender, or intersex inmates, does the agency always refrain from placing: intersex inmates in dedicated facilities, units, or wings solely on the basis of such identification or status? (N/A if the agency has a dedicated facility, unit, or wing solely for the placement of LGBT or I inmates pursuant to a consent decree, legal settlement, or legal judgement.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does

not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Prisoner Rules and Regulations Handbook
- Completed PREA Risk Screening
- Miramar MCF Shift Briefs
- Naval Consolidated Brig - Memorandum for the Record (MOU)
- Interviews
 - PREA Compliance Manager
 - Staff responsible for risk-based screening
 - Gay/Bisexual/Transgender Prisoners
 - Random Prisoners

Subsection (a) (b) Agency policy indicates the PMD shall use information from the risk screening required by paragraph 8.c.(1) to inform housing, cell/bed assignment, work, education, and program assignments with the goal of keeping separate those prisoners at high risk of being sexually victimized from those at high risk of being sexually abusive. This shall be accomplished by including such information on the Classification & Assignment (C&A) for and briefing information to the C&A Board whenever making classification changes or decisions. The C&A Board shall make recommendations to the CO regarding individualized determinations about how to ensure the safety of each prisoner. The auditor corroborated the processes through the review of completed prisoners risk screenings and interviews conducted with the PREA Compliance Manager and risk screening staff.

Subsection (c) BUPERS-00D designates the place of confinement for transgender or intersex prisoners. Upon assignment, the C&A Board shall consider, on a case-by-case basis, housing and programming assignments to ensure the transgender or intersex prisoner's health and safety, and whether the placement would present management or security problems. While onsite the auditor noted one transgender prisoner confined at the MCF. The auditor interviewed the prisoner and determined the facility is meeting the standard in all material ways.

Subsection (d)(e) Agency policy further states that a transgender or intersex prisoner's own view regarding his or her own safety shall be given serious consideration. Placement and programming assignments for each transgender or intersex prisoner shall be reassessed, using the Risk of Victimization and Abusiveness Form, at least twice each year to review any threats to safety experienced by the prisoner. The results of these assessments shall be briefed at the C&A Board. This process was corroborated through facility documentation review and interviews conducted with the facility PREA Compliance Manager, transgender prisoner, and staff responsible for conducting risk screening.

Subsection (f)(g) Agency policy states all prisoners shall shower separately in private shower stalls. As such, transgender and intersex prisoners shower separately from other prisoners. Lesbian, gay, bisexual, transgender, intersex (LGBTI), and gender nonconforming prisoners shall not be housed in dedicated housing units solely based on such identification or status, unless otherwise directed by higher authority. While conducting the facility site inspection, the auditor inspected the prisoner shower

stalls in all the prisoner living areas and determined they were, in fact, single-person shower stalls. Interviews conducted with prisoners confirmed they are required to shower individually.

Standard 115.43: Protective Custody

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.43 (a)

- Does the facility always refrain from placing inmates at high risk for sexual victimization in involuntary segregated housing unless an assessment of all available alternatives has been made, and a determination has been made that there is no available alternative means of separation from likely abusers? Yes No
- If a facility cannot conduct such an assessment immediately, does the facility hold the inmate in involuntary segregated housing for less than 24 hours while completing the assessment? Yes No

115.43 (b)

- Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Programs to the extent possible? Yes No
- Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Privileges to the extent possible? Yes No
- Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Education to the extent possible? Yes No
- Do inmates who are placed in segregated housing because they are at high risk of sexual victimization have access to: Work opportunities to the extent possible? Yes No
- If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the opportunities that have been limited? (N/A if the facility *never* restricts access to programs, privileges, education, or work opportunities.) Yes No NA
- If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the duration of the limitation? (N/A if the facility *never* restricts access to programs, privileges, education, or work opportunities.) Yes No NA
- If the facility restricts any access to programs, privileges, education, or work opportunities, does the facility document the reasons for such limitations? (N/A if the facility *never* restricts access to programs, privileges, education, or work opportunities.) Yes No NA

115.43 (c)

- Does the facility assign inmates at high risk of sexual victimization to involuntary segregated housing only until an alternative means of separation from likely abusers can be arranged?
 Yes No
- Does such an assignment not ordinarily exceed a period of 30 days? Yes No

115.43 (d)

- If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document the basis for the facility's concern for the inmate's safety? Yes No
- If an involuntary segregated housing assignment is made pursuant to paragraph (a) of this section, does the facility clearly document the reason why no alternative means of separation can be arranged? Yes No

115.43 (e)

- In the case of each inmate who is placed in involuntary segregation because he/she is at high risk of sexual victimization, does the facility afford a review to determine whether there is a continuing need for separation from the general population EVERY 30 DAYS? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Naval Consolidated Brig - Memorandum for the Record (MOU)
- Document for placement into segregation (DD Form 509)
- Interviews
 - CO
 - Staff who supervise prisoners in segregation housing

Subsections (a)(b)(c)(d)(e) Agency policy indicates prisoners at high risk for sexual victimization shall not be placed in involuntary restrictive housing unless an assessment by the C&A Board of all available alternatives have been made, and a determination has been made that there is no available alternative means of separation from likely abusers. If an immediate assessment cannot be made, the prisoner may be held in restrictive housing for no more than 24 hours while the assessment is being conducted. Prisoners placed in restrictive housing for this purpose shall have access to programs, privileges, education, commissary, library, counseling services, religious guidance, recreational, and work opportunities to the extent possible if access to programs, privileges, education, or work opportunities are restricted. In that case, the Operations Department shall document such restrictions as listed on the DD Form 509 and Special Handling Letter/Supplemental Form: The opportunities have been limited. The duration of the limitation. The reasons for such limitations. Prisoners shall be assigned to involuntary restrictive housing only until an alternative means of separation from likely abusers can be arranged, and such an assignment shall not ordinarily exceed a period of 30 days. If an involuntary restrictive housing assignment is made pursuant to paragraph (a) of this section, the following shall be documented in the prisoner record or Corrections Management Information System (CORMIS): (a) The basis of concern for the prisoner's safety and (b) The reason why no alternative means of separation can be arranged. The C&A Board exceeds §115.43(e)-1 requirements by reviewing the status of each prisoner assigned to Protective Custody, to include those pursuant to paragraph (a), every seven calendar days determine whether there is a continuing need for separation from the general population. Interview conducted with the facility PREA Compliance Manager and the segregation supervisor confirmed the above-mentioned process would take place if the facility ever needed to place a prisoner in involuntary segregated housing. The PREA Compliance Manager stated the MCF has never placed a prisoner in involuntary segregated housing due to high risk for sexual victimization. Furthermore, no prisoners at risk of sexual victimization were held in involuntary segregated housing in the past (12) months for less than 24 hours awaiting completion of assessment; and zero prisoners in the past (12) months at risk of victimization were involuntary segregated housing for longer than 30 days while awaiting alternative placement.

REPORTING

Standard 115.51: Inmate reporting

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.51 (a)

- Does the agency provide multiple internal ways for inmates to privately report sexual abuse and sexual harassment? Yes No
- Does the agency provide multiple internal ways for inmates to privately report retaliation by other inmates or staff for reporting sexual abuse and sexual harassment? Yes No
- Does the agency provide multiple internal ways for inmates to privately report staff neglect or violation of responsibilities that may have contributed to such incidents? Yes No

115.51 (b)

- Does the agency also provide at least one way for inmates to report sexual abuse or sexual harassment to a public or private entity or office that is not part of the agency? Yes No

- Is that private entity or office able to receive and immediately forward inmate reports of sexual abuse and sexual harassment to agency officials? Yes No
- Does that private entity or office allow the inmate to remain anonymous upon request? Yes No
- Are inmates detained solely for civil immigration purposes provided information on how to contact relevant consular officials and relevant officials at the Department of Homeland Security? (N/A if the facility *never* houses inmates detained solely for civil immigration purposes) Yes No NA

115.51 (c)

- Does staff accept reports of sexual abuse and sexual harassment made verbally, in writing, anonymously, and from third parties? Yes No
- Does staff promptly document any verbal reports of sexual abuse and sexual harassment? Yes No

115.51 (d)

- Does the agency provide a method for staff to privately report sexual abuse and sexual harassment of inmates? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Prisoner Rules and Regulations Handbook
- MCF Directive - General Rules for Staff Members

- Memorandum for the Record (MOU)
- Facility site inspection
- Interviews
 - PREA Compliance Manager
 - Random sample of prisoners
 - Random sample of staff

Subsection (a)(b) Agency policy states prisoners have multiple internal ways to privately report sexual abuse and sexual harassment, retaliation by other prisoners or staff for reporting sexual abuse and sexual harassment, and staff neglect or violation of responsibilities that may have contributed to such incidents. Such reports can be made to a staff member other than the prisoner's immediate supervisor to include the CEMEO, UVA, Chaplain, medical and mental health personnel, etc.; anonymously; through a third party; or by submitting a DD Form 510, Prisoner Request, via the Commanding Officer's mailbox. Prisoners have two options to report allegations of sexual abuse: (1) an unrestricted Report, for prisoners who desire an official investigation and command notification in addition to healthcare, victim advocacy, and legal services; or (2) Anonymous Report, for prisoners desiring to privately disclose the allegation. The DoD Safe Helpline, an external entity, is the primary means for prisoners to report sexual abuse and sexual harassment incidents to an outside agency, both unrestricted and anonymously. Prisoners do not have a restricted reporting option afforded active-duty personnel since PREA requires a criminal investigation for every incident of sexual abuse, including anonymous and third-party reports. In accordance with DoD policy, such reports will be forwarded to the Sexual Assault Response Coordinator (SARC). The auditor further confirmed this practice and procedure through an interview with the facility PREA Compliance Manager and investigator. The SARC will notify the MCF commander or designee where the alleged incident occurred as soon as possible. The SARC may also forward any information provided voluntarily by the prisoner through the anonymous reporting process. If the prisoner declines to be connected to the SARC or other appropriate point of contact, DoD Safe Helpline personnel will notify the MCF commander or designee of the anonymous report, based on the information provided by the prisoner. If the prisoner has elected to make an anonymous report, the prisoner's name, registration number, and social security number shall not be identified. Prisoners also have access to other external entities to make unrestricted reports of sexual abuse and sexual harassment. This information is provided to all prisoners upon intake and through their comprehensive PREA education. The reporting information is also available in the prisoner rules and regulations handbook. The auditor observed the reporting information posted in multiple areas of the facility to include on bulletin boards and next to prisoner telephones. Interviews with random staff and prisoners indicated to the auditor they are aware of the reporting mechanisms in place for prisoners to report allegations of sexual abuse and sexual harassment. It should be noted the MCF does not prisoners detained solely for civil immigration purposes. While onsite and during the facility inspection the auditor placed a successful test call to the DOD Safe Helpline

Subsections (c)(d) Staff shall accept reports made verbally, in writing, anonymously, or from third parties. Verbal reports shall be immediately documented (within 12 hours) and forwarded to the CDO or another appropriate supervisor. If a supervisor is the alleged perpetrator, the staff member shall report to a different supervisor in their chain of command (i.e., Operations Officer, CO, etc.) Staff may privately report the sexual abuse and sexual harassment of prisoners via the DoD Safe helpline, SARC, SAPR, or Inspector General (IG). The auditor confirmed the above stated through interviews with random staff and prisoners. Staff stated they are required to report all allegations of sexual misconduct immediately and shall document the report as well.

Standard 115.52: Exhaustion of administrative remedies

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.52 (a)

- Is the agency exempt from this standard? NOTE: The agency is exempt ONLY if it does not have administrative procedures to address inmate grievances regarding sexual abuse. This does not mean the agency is exempt simply because an inmate does not have to or is not ordinarily expected to submit a grievance to report sexual abuse. This means that as a matter of explicit policy, the agency does not have an administrative remedies process to address sexual abuse. Yes No

115.52 (b)

- Does the agency permit inmates to submit a grievance regarding an allegation of sexual abuse without any type of time limits? (The agency may apply otherwise-applicable time limits to any portion of a grievance that does not allege an incident of sexual abuse.) (N/A if agency is exempt from this standard.) Yes No NA
- Does the agency always refrain from requiring an inmate to use any informal grievance process, or to otherwise attempt to resolve with staff, an alleged incident of sexual abuse? (N/A if agency is exempt from this standard.) Yes No NA

115.52 (c)

- Does the agency ensure that: An inmate who alleges sexual abuse may submit a grievance without submitting it to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) Yes No NA
- Does the agency ensure that: Such grievance is not referred to a staff member who is the subject of the complaint? (N/A if agency is exempt from this standard.) Yes No NA

115.52 (d)

- Does the agency issue a final agency decision on the merits of any portion of a grievance alleging sexual abuse within 90 days of the initial filing of the grievance? (Computation of the 90-day time period does not include time consumed by inmates in preparing any administrative appeal.) (N/A if agency is exempt from this standard.) Yes No NA
- If the agency claims the maximum allowable extension of time to respond of up to 70 days per 115.52(d)(3) when the normal time period for response is insufficient to make an appropriate decision, does the agency notify the inmate in writing of any such extension and provide a date by which a decision will be made? (N/A if agency is exempt from this standard.) Yes No NA
- At any level of the administrative process, including the final level, if the inmate does not receive a response within the time allotted for reply, including any properly noticed extension, may an inmate consider the absence of a response to be a denial at that level? (N/A if agency is exempt from this standard.) Yes No NA

115.52 (e)

- Are third parties, including fellow inmates, staff members, family members, attorneys, and outside advocates, permitted to assist inmates in filing requests for administrative remedies relating to allegations of sexual abuse? (N/A if agency is exempt from this standard.)
 Yes No NA
- Are those third parties also permitted to file such requests on behalf of inmates? (If a third-party files such a request on behalf of an inmate, the facility may require as a condition of processing the request that the alleged victim agree to have the request filed on his or her behalf, and may also require the alleged victim to personally pursue any subsequent steps in the administrative remedy process.) (N/A if agency is exempt from this standard.) Yes No NA
- If the inmate declines to have the request processed on his or her behalf, does the agency document the inmate's decision? (N/A if agency is exempt from this standard.)
 Yes No NA

115.52 (f)

- Has the agency established procedures for the filing of an emergency grievance alleging that an inmate is subject to a substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) Yes No NA
- After receiving an emergency grievance alleging an inmate is subject to a substantial risk of imminent sexual abuse, does the agency immediately forward the grievance (or any portion thereof that alleges the substantial risk of imminent sexual abuse) to a level of review at which immediate corrective action may be taken? (N/A if agency is exempt from this standard.)
 Yes No NA
- After receiving an emergency grievance described above, does the agency provide an initial response within 48 hours? (N/A if agency is exempt from this standard.) Yes No NA
- After receiving an emergency grievance described above, does the agency issue a final agency decision within 5 calendar days? (N/A if agency is exempt from this standard.)
 Yes No NA
- Does the initial response and final agency decision document the agency's determination whether the inmate is in substantial risk of imminent sexual abuse? (N/A if agency is exempt from this standard.) Yes No NA
- Does the initial response document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) Yes No NA
- Does the agency's final decision document the agency's action(s) taken in response to the emergency grievance? (N/A if agency is exempt from this standard.) Yes No NA

115.52 (g)

- If the agency disciplines an inmate for filing a grievance related to alleged sexual abuse, does it do so ONLY where the agency demonstrates that the inmate filed the grievance in bad faith? (N/A if agency is exempt from this standard.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- Naval Consolidated MCF Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Memorandum for the Record (MOU)

Subsections (a)(b)(c)(d)(e)(f)

The subject standard has been reviewed for compliance and the facility has been found to be exempt per DOJ interpretation. Rationale: The Miramar MCF does not require administrative procedures to address inmate grievances regarding sexual abuse. Therefore, there is no requirement to exhaust administrative remedies before filing a grievance.

Standard 115.53: Inmate access to outside confidential support services

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.53 (a)

- Does the facility provide inmates with access to outside victim advocates for emotional support services related to sexual abuse by giving inmates mailing addresses and telephone numbers, including toll-free hotline numbers where available, of local, State, or national victim advocacy or rape crisis organizations? Yes No
- Does the facility provide persons detained solely for civil immigration purposes mailing addresses and telephone numbers, including toll-free hotline numbers where available of local, State, or national immigrant services agencies? (N/A if the facility *never* has persons detained solely for civil immigration purposes.) Yes No NA
- Does the facility enable reasonable communication between inmates and these organizations and agencies, in as confidential a manner as possible? Yes No

115.53 (b)

- Does the facility inform inmates, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws? Yes No

115.53 (c)

- Does the agency maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide inmates with confidential emotional support services related to sexual abuse? Yes No
- Does the agency maintain copies of agreements or documentation showing attempts to enter into such agreements? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- Naval Consolidated MCF Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PREA RESOURCE AND REPORTING INFORMATION
- Prison Rape Elimination Act Intake Information Sheet (for prisoners)
- Contract with RAINN
- Prisoner Rules and Regulations Handbook
- Prisons Rape Elimination Act (PREA) Miramar Naval MCF Pamphlet
- Naval MCF Miramar – Zero Tolerance for any Sexual Assault or Sexual Harassment poster
- Interviews
 - Random sample of prisoners

Subsections(a)(b) Agency policy indicates prisoners shall have access to outside victim advocates for emotional support services related to sexual abuse. Mailing addresses and telephone numbers, including the toll-free DoD Safe Helpline or other local, state, or national victim advocacy or rape crisis organizations, shall be available. Reasonable communication between prisoners and these organizations and agencies is permitted in accordance with privileged communications (e.g., counselor's phone, privilege correspondence, designated unrecorded phone numbers, etc.). The facility shall inform prisoners, prior to giving them access, of the extent to which such communications will be monitored and the extent to which reports of abuse will be forwarded to authorities in accordance with mandatory reporting laws. Reports of sexual abuse/harassment directed to the Safe Help Line or MCF SAPR shall be forwarded to the facility CO for investigation. Reports of sexual abuse/harassment directed to any other outside agency, other than the DoD Safe Helpline, are subject to their governing policy regarding privacy, confidentiality, and/or privilege that apply to such disclosures. Prisoners are advised to address these issues with the outside agency. The auditor corroborated the above noted through interviews with a random sample of prisoners, PREA Compliance Manager, random sample of staff. The auditor interviewed one prisoner who reported sexual abuse during the previous twelve (12) months and determined the facility is following the standards requirements in all material ways. The facility does not detain prisoners solely for civil immigration purposes.

Subsection(c) Agency policy states, the agency shall maintain or attempt to enter into memoranda of understanding or other agreements with community service providers that are able to provide prisoners with confidential emotional support services related to sexual abuse. The auditor confirmed this is established via the DoD contract agreement with RAINN to operate the DoD Safe Helpline. The agency retains copies of the agreement and documentation demonstrating it has entered into such an agreement.

Standard 115.54: Third-party reporting

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.54 (a)

- Has the agency established a method to receive third-party reports of sexual abuse and sexual harassment? Yes No
- Has the agency distributed publicly information on how to report sexual abuse and sexual harassment on behalf of an inmate? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does

not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- Naval Consolidated MCF Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PREA RESOURCE AND REPORTING INFORMATION
- Prisoner Rules and Regulations Handbook
- Miramar MCF – PREA Information Pamphlet
- Agency PREA Information Website

Subsection(a) Agency policy indicates, and the auditor confirmed through review, procedures for third-party reporting of sexual abuse and sexual abuse and sexual harassment on behalf of prisoners shall be posted in the housing unit, visitation area, common areas, and on the Command website.

(<https://mynavyhr.navy.afpims.mil/Support-Services/Corrections-Programs/Brigs/Miramar/Prison-Rape-Elimination-Act/>)

OFFICIAL RESPONSE FOLLOWING AN INMATE REPORT

Standard 115.61: Staff and agency reporting duties

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.61 (a)

- Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding an incident of sexual abuse or sexual harassment that occurred in a facility, whether or not it is part of the agency? Yes No
- Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding retaliation against inmates or staff who reported an incident of sexual abuse or sexual harassment? Yes No
- Does the agency require all staff to report immediately and according to agency policy any knowledge, suspicion, or information regarding any staff neglect or violation of responsibilities that may have contributed to an incident of sexual abuse or sexual harassment or retaliation? Yes No

115.61 (b)

- Apart from reporting to designated supervisors or officials, does staff always refrain from revealing any information related to a sexual abuse report to anyone other than to the extent necessary, as specified in agency policy, to make treatment, investigation, and other security and management decisions? Yes No

115.61 (c)

- Unless otherwise precluded by Federal, State, or local law, are medical and mental health practitioners required to report sexual abuse pursuant to paragraph (a) of this section?
 Yes No
- Are medical and mental health practitioners required to inform inmates of the practitioner's duty to report, and the limitations of confidentiality, at the initiation of services? Yes No

115.61 (d)

- If the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable persons statute, does the agency report the allegation to the designated State or local services agency under applicable mandatory reporting laws? Yes No

115.61 (e)

- Does the facility report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the facility's designated investigators? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- Naval Consolidated MCF Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Samples - Prison Rape Elimination Act – Staff Training
- DoD PATIENT BILL OF RIGHTS AND RESPONSIBILITIES
- INVESTIGATION INTO ALLEGED RETALIATION FOR MAKING SEXUAL ASSAULT ALLEGATION
- Agency public facing website with information on how to submit 3rd party reports to NCIS.
- MEMORANDUM FOR THE RECORD
- Medical & Mental Health staff training documentation that require them to report incidents of sexual abuse.
- NIC - Medical and Mental Health completed training certificates "PREA – Behavioral Health Care for Sexual Victims in a Confinement Setting."

- NIC - Your Role Responding to Sexual Abuse
- Completed sexual abuse investigation
- Interviews:
 - CO
 - PREA Coordinator
 - Medical and Mental Health Staff

Subsections(a)(b) Agency policy states staff shall immediately report to the MCF Command Duty Officer for immediate action: 1. Any knowledge, suspicion, or information regarding an incident of sexual abuse and sexual harassment that occurs in any facility or custodial setting, whether or not it is part of the Navy corrections system. 2. Any retaliation against prisoners or staff who reported such an incident. 3. Any staff neglect or violation of responsibilities that may have contributed to an incident or retaliation. Staff shall not reveal any information related to a sexual abuse and sexual harassment report to anyone other than on a need-to-know basis in order to make treatment, investigation, and other security and management decisions (e.g., designated supervisors or officials, UVA, CO, ACO, etc.). Interviews with Random staff clearly indicated to the auditor they are aware of the agency's requirement and their reporting duties. The auditor further confirmed this through the review of completed staff training documentation white onsite.

Subsection(c) Agency policy states, unless precluded by Federal, State, or local law, facility medical and mental health practitioners are required to report sexual abuse pursuant to paragraph (a) of this section and inform prisoners of the practitioner's duty to report the limitations of confidentiality at the initiation of services. Interviews conducted with medical a mental staff confirmed they are aware of the requirements delineated within this subsection and understand their role as mandatory reporters.

Subsection(d) Agency policy states, if the alleged victim is under the age of 18 or considered a vulnerable adult under a State or local vulnerable person's statute, the facility shall report the allegation under applicable mandatory reporting laws. Interviews conducted with the facility CO and PREA Compliance Manager indicated they have not had a prisoner under the age of 18 or consider a vulnerable adult within the past (12) months. However, if such an occurrence were to happen, they would fully comply with the standards requirement.

Subsection(e) Agency policy state the facility shall report all allegations of sexual abuse and sexual harassment, including third-party and anonymous reports, to the NCIS. The facility received six sexual misconduct allegations in the (12) months. In reviewing the investigations, the auditor determined the facility followed agency policy and procedures and the standard requirement. Interviews with the facility CO and PREA Compliance Manager also confirmed, all allegations of sexual abuse and sexual harassment are referred to NCIS, the MCFs designated investigators.

Standard 115.62: Agency protection duties

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.62 (a)

- When the agency learns that an inmate is subject to a substantial risk of imminent sexual abuse, does it take immediate action to protect the inmate? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- Naval Consolidated MCF Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- MEMORANDUM FOR THE RECORD - PREA STANDARD 115.62 AGENCY PROTECTION DUTIES
- Interviews
 - CO
 - Random staff
 - PREA Compliance Manager

Subsection(a) Agency policy states any prisoner who feels at substantial risk of imminent sexual abuse may submit an emergency request to any staff member, orally or in writing. All emergency requests shall be forwarded to the CDO, who shall take immediate action (e.g., separate victim from alleged abuser, placement on protective custody, etc.) to protect the prisoner and notify the CO. Any prisoner who feels at substantial risk of imminent sexual abuse may submit an emergency request to any staff member, orally or in writing. All emergency requests shall be forwarded to the CDO, who shall take immediate action (e.g., separate victim from alleged abuser, placement on protective custody, etc.) to protect the prisoner and notify the CO. If the CO is the subject of the emergency request, the emergency request shall be forwarded to BUPERS-00D. The prisoner shall be given an initial response on his/her emergency request within forty-eight (48) hours and a final decision within five (5) calendar days. The initial response and final decision shall document any determination whether the prisoner is at substantial risk of imminent sexual abuse and the action taken in response to the emergency request from the Miramar MCF Commander on the Notification of Investigation Status Form, see enclosure (9). Even if the facility does not believe the prisoner is at substantial risk of imminent sexual abuse, it does not relieve the facility from the requirement to respond within the forty-eight (48) hour timeframe. Such actions shall be documented in the MCF Log and identified as a significant event (e.g., highlighted, color-coded, searchable, etc.), annotated in the CDO Report, documented on a DD Form 2713 Prisoner Observation Report, and filed in the prisoner record and/or CORMIS. Interviews conducted with CO, random staff, and PREA Compliance Manager, there have been no instances of the facility learning that a prisoner was at substantial risk of imminent sexual abuse in the last (12) months. The facility takes all allegations of sexual misconduct seriously.

Standard 115.63: Reporting to other confinement facilities

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.63 (a)

- Upon receiving an allegation that an inmate was sexually abused while confined at another facility, does the head of the facility that received the allegation notify the head of the facility or appropriate office of the agency where the alleged abuse occurred? Yes No

115.63 (b)

- Is such notification provided as soon as possible, but no later than 72 hours after receiving the allegation? Yes No

115.63 (c)

- Does the agency document that it has provided such notification? Yes No

115.63 (d)

- Does the facility head or agency office that receives such notification ensure that the allegation is investigated in accordance with these standards? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- MEMORANDUM FOR THE RECORD - PREA STANDARD 115.63 REPORTING TO OTHER CONFINEMENT FACILITIES
-

Subsections (a)(b)(c)(d) Agency policy states upon receiving an allegation that a prisoner was the victim of sexual abuse and sexual harassment while confined at another facility, the CO shall notify the head of the facility/agency where the alleged abuse occurred (e.g., email, correspondence, SITREP, etc.). Such notification shall be provided as soon as possible, but no later than 72 hours after receiving the allegation.

The PREA Compliance Manager shall maintain documentation that the CO has provided such notification. This documentation shall also be recorded within the prisoner record or CORMIS utilizing the 2713 Prisoner Observation Report. If the facility receives such notification, allegations shall be investigated in accordance with these standards. Interview with the PREA Compliance Manager and facility CO indicates, as of the first day of the onsite inspection, in the last 12 months, there have been no allegations that a prisoner has been sexually abused while at another confinement facility. If an allegation were received requiring a report to another confinement facility, it would be done so in accordance with Prisoner Rape Elimination Act (PREA) Standard 115.63 and agency policy (1640.23 PREA compliance).

Standard 115.64: Staff first responder duties

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.64 (a)

- Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Separate the alleged victim and abuser?
 Yes No
- Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence? Yes No
- Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? Yes No
- Upon learning of an allegation that an inmate was sexually abused, is the first security staff member to respond to the report required to: Ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating, if the abuse occurred within a time period that still allows for the collection of physical evidence? Yes No

115.64 (b)

- If the first staff responder is not a security staff member, is the responder required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)

Does Not Meet Standard (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

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Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Completed PREA sexual abuse investigation
- Staff – PREA First Responder Cards
- Interviews
 - Security Staff and Non-Security Staff First Responders
 - PREA Compliance Manager

Subsections(a)(b) Facility policy states and was further corroborated through interviews conducted with Security Staff and Non-Security Staff First Responders; all facility staff is designated as first responders. Upon learning of an allegation that a prisoner was the victim of sexual abuse and sexual harassment, the first security staff member to respond to the report shall be required to (1). Separate the alleged victim and abuser; (2). Preserve and protect any crime scene until appropriate steps can be taken to collect any evidence. (3). If the abuse occurred within a time period that still allows for the collection of physical evidence, request that the alleged victim not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating; and (4). If the abuse occurred within a time period that still allows for the collection of physical evidence, ensure that the alleged abuser does not take any actions that could destroy physical evidence, including, as appropriate, washing, brushing teeth, changing clothes, urinating, defecating, smoking, drinking, or eating (5) immediately notify the CDO, who will inform the chain of command. If the first staff responder is not a security staff member, the responder shall be required to request that the alleged victim not take any actions that could destroy physical evidence, and then notify security staff or the CDO. There were six allegations of sexual misconduct reported within the past (12) months. The auditor reviewed the investigative reports and supporting documentation and found that the facility responded in accordance with agency policy and procedures and in accordance with the standards requirement. Evidence reflecting immediate separation of the alleged abuser and victim was provided to the auditor. PREA First Responder Cards are given to staff members during per-service and staff are inspected weekly to ensure that the staff member has the card on them.

Standard 115.65: Coordinated response

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.65 (a)

- Has the facility developed a written institutional plan to coordinate actions among staff first responders, medical and mental health practitioners, investigators, and facility leadership taken in response to an incident of sexual abuse? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PREA Incident Response Procedures - Document is from our SOP and serves as a checklist to ensure and document coordination between 1st responders, medical, mental health, investigators, and facility leadership.
- Interviews:
 - CO
 - PREA Compliance Manager

Subsection(a) Agency policy states the Coordinated response (§115.65) - SOP serves as the written institutional plans to coordinate actions taken in response to an incident of sexual abuse among staff first responders, medical and mental health practitioners, investigators, and facility leadership. The auditor reviewed the facility-specific coordinated response plan and found that it meets the standard requirement in all material ways. Interview with both the CO and PREA Compliance Manager confirmed that the facility does have a coordinated response plan in effect, and staff receives refresher training annually on the plan.

Standard 115.66: Preservation of ability to protect inmates from contact with abusers

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.66 (a)

- Are both the agency and any other governmental entities responsible for collective bargaining on the agency's behalf prohibited from entering into or renewing any collective bargaining agreement or other agreement that limits the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether and to what extent discipline is warranted? Yes No

115.66 (b)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- MEMORANDUM FOR THE RECORD - PREA STANDARD 115.66 PRESERVATION OF ABILITY TO PROTECT INMATES FROM CONTACT WITH ABUSERS.
- Interviews
 - Agency PREA Coordinator
 - PREA Compliance Manager
 - CO

Subsection(a) Agency policy states preservation of the ability to protect prisoners from contact with abusers (§115.66). MCF Miramar has no collective bargaining unit and follows Federal regulations issued by the Office of Personnel Management in the management of civilian employees. Military staff members are not eligible for membership in a collective bargaining unit. Per agency and facility policy alleged staff sexual abusers shall be removed from contact with inmates pending the outcome of an investigation and disposition of the investigation. The employees at MCF Miramar have neither a union nor a collective bargaining unit; hence, there is no agreement is possible that would limit the agency's ability to remove alleged staff sexual abusers from contact with any inmates pending the outcome of an investigation or of a determination of whether or to what extent discipline is warranted. The auditor confirmed the above mentioned through interviews conducted with the Agency PREA Coordinator, CO, and PREA Compliance Manager.

Standard 115.67: Agency protection against retaliation

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.67 (a)

- Has the agency established a policy to protect all inmates and staff who report sexual abuse or sexual harassment or cooperate with sexual abuse or sexual harassment investigations from retaliation by other inmates or staff? Yes No
- Has the agency designated which staff members or departments are charged with monitoring retaliation? Yes No

115.67 (b)

- Does the agency employ multiple protection measures, such as housing changes or transfers for inmate victims or abusers, removal of alleged staff or inmate abusers from contact with victims, and emotional support services, for inmates or staff who fear retaliation for reporting sexual abuse or sexual harassment or for cooperating with investigations? Yes No

115.67 (c)

- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates or staff who reported the sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor the conduct and treatment of inmates who were reported to have suffered sexual abuse to see if there are changes that may suggest possible retaliation by inmates or staff? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Act promptly to remedy any such retaliation? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor any inmate disciplinary reports? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate housing changes? Yes No

- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor inmate program changes? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor negative performance reviews of staff? Yes No
- Except in instances where the agency determines that a report of sexual abuse is unfounded, for at least 90 days following a report of sexual abuse, does the agency: Monitor reassignments of staff? Yes No
- Does the agency continue such monitoring beyond 90 days if the initial monitoring indicates a continuing need? Yes No

115.67 (d)

- In the case of inmates, does such monitoring also include periodic status checks?
 Yes No

115.67 (e)

- If any other individual who cooperates with an investigation expresses a fear of retaliation, does the agency take appropriate measures to protect that individual against retaliation?
 Yes No

115.67 (f)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Classification Request paperwork
- Completed investigation for allegation of retaliation for reporting sexual misconduct
- Victims legal counsel notification representation
- Retaliation monitoring documentation
- Interviews:
 - Agency Head designee
 - CO
 - PREA Compliance Manager / Designated Staff Member Charged with Monitoring Retaliation

Subsections(a)(b) Agency policy indicates all prisoners and staff who report sexual abuse and sexual harassment or cooperate with sexual abuse and sexual harassment investigations are protected from retaliation by other prisoners or staff. The PREA Compliance Manager is charged with monitoring retaliation. The facility shall act immediately (within 12-hours) to document allegations of retaliation; inquiries, disposition, and remedies shall be carried out promptly. The facility shall employ multiple protection measures, such as housing changes or transfers for prisoner victims or abusers, removal of alleged staff or prisoner abusers from contact with victims, and emotional support services for prisoners or staff that fear retaliation for reporting sexual abuse and sexual harassment or for cooperating with investigations. Cautionary administrative measures in response to sexual abuse and sexual harassment allegations, like temporary duty reassignments, in no way indicate a belief by the facility or agency as to guilt, responsibility, truthfulness, or otherwise. Allegations will be treated as such until properly investigated and disposed of in accordance with agency policy. The auditor reviewed completed investigations for allegations of sexual abuse and determined monitoring for retaliation was completed in accordance with agency policy and the PREA standards requirement. The MCF employs the right for legal counsel for the alleged victim during the PREA investigation. This is a protective measure in place for all prisoners. Interviews with the agency head designee, CO and PREA Compliance Manager confirmed the above mentioned.

Subsection(c)(d) For at least ninety (90) days following a report of sexual abuse and sexual harassment, the PREA Compliance Manager shall monitor the conduct and treatment of prisoners or staff who reported the sexual abuse and sexual harassment and of prisoners who were reported to have suffered sexual abuse and sexual harassment to see if there are changes that may suggest possible retaliation by prisoners or staff. The PREA Compliance Manager shall notify the CO of such retaliation for resolution. Items the PREA Compliance Manager monitor for are prisoner disciplinary reports, housing or program changes, negative performance reviews, or reassignments of staff. The PREA Compliance Manager shall continue such monitoring beyond ninety (90) days if the initial monitoring indicates a continuing need. The auditor confirmed the above mentioned by reviewing completed investigative files/documentation for the monitoring of retaliation. Investigation documentation and interview with the PREA Compliance Manager, who is responsible for the monitoring of retaliation corroborated, such monitoring also includes periodic status checks.

Subsections(e)(f) According to the PREA Compliance Manager, if any other individual who cooperates with an investigation expresses a fear of retaliation, appropriate actions will be taken to protect that individual against retaliation. These measures will be documented and maintained by the PREA Compliance Manager. Monitoring shall terminate if the investigating entity determines that the allegation is unfounded.

Standard 115.68: Post-allegation protective custody

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.68 (a)

- Is any and all use of segregated housing to protect an inmate who is alleged to have suffered sexual abuse subject to the requirements of § 115.43? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Interviews
 - CO
 - Staff who Supervise Prisoners in Segregated Housing

Subsection(a) Agency policy states post-allegation protective custody and any use of restrictive housing to protect a prisoner who is alleged to have suffered sexual abuse, and sexual harassment shall be subject to the requirements of 115.43). All post-allegation protective measures shall be recorded by the Programs Department within the prisoner record or CORMIS. Interview with the facility CO and PREA Compliance Manager indicated since the last PREA audit, there has been only one allegation of sexual abuse; in this case, per policy, no use of segregated housing was used to protect those who allege sexual abuse. The facility standard procedure and practice to achieve separation of the alleged abuser(s) from the alleged victim(s) is to segregate the alleged abuser(s) when such is a prisoner. The number of prisoners who allege to have suffered sexual abuse who were held in involuntary segregated housing in the past (12) months, for one to 24 hours awaiting completion of assessment: ZERO. In the past (12) months, the number of prisoners who allege to have suffered sexual abuse who were assigned to involuntary segregated housing for longer than 30 days while awaiting alternative placement: ZERO. The facility did not have any prisoners who in Segregated Housing (for risk of sexual victimization/who allege to have suffered sexual abuse, nor did the auditor note any such occurrences while conducting the facility site inspection.

INVESTIGATIONS

Standard 115.71: Criminal and administrative agency investigations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.71 (a)

- When the agency conducts its own investigations into allegations of sexual abuse and sexual harassment, does it do so promptly, thoroughly, and objectively? [N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).] Yes No NA
- Does the agency conduct such investigations for all allegations, including third party and anonymous reports? [N/A if the agency/facility is not responsible for conducting any form of criminal OR administrative sexual abuse investigations. See 115.21(a).] Yes No NA

115.71 (b)

- Where sexual abuse is alleged, does the agency use investigators who have received specialized training in sexual abuse investigations as required by 115.34? Yes No

115.71 (c)

- Do investigators gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data? Yes No
- Do investigators interview alleged victims, suspected perpetrators, and witnesses?
 Yes No
- Do investigators review prior reports and complaints of sexual abuse involving the suspected perpetrator? Yes No

115.71 (d)

- When the quality of evidence appears to support criminal prosecution, does the agency conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution? Yes No

115.71 (e)

- Do agency investigators assess the credibility of an alleged victim, suspect, or witness on an individual basis and not on the basis of that individual's status as inmate or staff? Yes No
- Does the agency investigate allegations of sexual abuse without requiring an inmate who alleges sexual abuse to submit to a polygraph examination or other truth-telling device as a condition for proceeding? Yes No

115.71 (f)

- Do administrative investigations include an effort to determine whether staff actions or failures to act contributed to the abuse? Yes No
- Are administrative investigations documented in written reports that include a description of the physical evidence and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings? Yes No

115.71 (g)

- Are criminal investigations documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence and attaches copies of all documentary evidence where feasible? Yes No

115.71 (h)

- Are all substantiated allegations of conduct that appears to be criminal referred for prosecution? Yes No

115.71 (i)

- Does the agency retain all written reports referenced in 115.71(f) and (g) for as long as the alleged abuser is incarcerated or employed by the agency, plus five years? Yes No

115.71 (j)

- Does the agency ensure that the departure of an alleged abuser or victim from the employment or control of the agency does not provide a basis for terminating an investigation? Yes No

115.71 (k)

- Auditor is not required to audit this provision.

115.71 (l)

- When an outside entity investigates sexual abuse, does the facility cooperate with outside investigators and endeavor to remain informed about the progress of the investigation? (N/A if an outside agency does not conduct administrative or criminal sexual abuse investigations. See 115.21(a).) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Subj: NOTIFICATION OF INVESTIGATION STATUS
- PREA - Investigating Sexual Abuse in a Confinement Setting
- PREA - Investigating Sexual Abuse in a Confinement Setting: Advanced Investigations
- MEMORANDUM FOR THE RECORD: PRISONER RAPE ELIMINATION ACT STANDARD 115.71 REQUIREMENTS
- MEMORANDUM OF AGREEMENT BETWEEN THE DIRECTOR, NAVAL CRIMINAL INVESTIGATIVE SERVICE
- U.S. NAVAL CRIMINAL INVESTIGATIVE SERVICE: REPORT OF INVESTIGATION
- Interviews:
 - PREA Compliance Manager
 - Facility Investigator

Subsections(a)(b) As soon as reasonable suspicion of sexual abuse and sexual harassment has occurred, all allegations shall be immediately referred to NCIS for investigation. NCIS agents have been trained in conducting sexual abuse investigations. NCIS may decline investigative jurisdiction for sexual harassment; in this case, facility investigators shall conduct their own investigations into the allegations and shall do so promptly, thoroughly, and objectively for all allegations, including third-party and anonymous reports. Facility investigators have completed specialized training in sexual abuse investigations. The auditor was provided with completed certificates of training. (PREA: Investigating Sexual Abuse in a Confinement Setting) and (PREA: Investigating Sexual Abuse in a Confinement Setting: Advanced Investigations) through the National Institute of Corrections (NIC). The facility PREA Compliance Manager, who is also one of the facility's assigned investigators, confirmed the above mentioned. All allegations of sexual abuse and sexual harassment are referred to the NCIS. The auditor reviewed sexual abuse investigations reported in the past (12) months and found they were completed in accordance with the standards requirement.

Subsections(c)(d) NCIS Investigators will gather and preserve direct and circumstantial evidence, including any available physical and DNA evidence and any available electronic monitoring data; interview alleged victims, suspected perpetrators, and witnesses; and shall review prior complaints and reports of sexual abuse involving the suspected perpetrator according to their protocols. Should NCIS decline investigative jurisdiction, facility investigators shall complete the above tasks. When the quality of evidence appears to support a criminal prosecution, the investigative agency or facility investigators shall conduct compelled interviews only after consulting with prosecutors as to whether compelled interviews may be an obstacle for subsequent criminal prosecution. Interview with the facility investigator and reviewing completed investigations in the past (12) months confirmed the facility conforms to the standards requirement.

Subsection(e) Agency policy states the investigating agencies shall assess the credibility of an alleged victim, suspect, or witness on an individual basis and not by the person's status as a prisoner or staff.

Prisoners who allege sexual abuse and sexual harassment shall not be required to submit to a polygraph examination or other truth-telling device as a condition for proceeding with the investigation. Interview with PREA Compliance Manager confirmed the aforementioned.

Subsection(f)(g)(h) Administrative investigations shall include an effort to determine whether staff actions or failures to act contributed to the abuse. They shall be documented in written reports that include a description of the physical and testimonial evidence, the reasoning behind credibility assessments, and investigative facts and findings. Criminal investigations shall be documented in a written report that contains a thorough description of the physical, testimonial, and documentary evidence, and attach copies of all documentary evidence where feasible. Substantiated allegations of conduct that appear to be criminal shall be referred for prosecution. The auditor reviewed both administrative and criminal investigations into allegations of sexual misconduct reported and determined they were handled appropriately and per the standards requirement. There were no sexual abuse or sexual harassment referred for prosecution.

Subsections(i)(j)(k)(l) Per agency policy and interview conducted with the PREA Compliance Manager, he retains all written reports referenced for as long as the alleged abuser is incarcerated or employed by the facility, plus five years. All staff and departments must provide the PREA Compliance Manager all documentation for file, archive, and audit. The departure of the alleged abuser or victim from the employment or control of the facility shall not provide a basis for terminating an investigation. NCIS and facility investigators conduct investigations into alleged sexual abuse and sexual harassment incidents pursuant to the above requirements. No State or Department of Justice entity conducted an investigation.

Standard 115.72: Evidentiary standard for administrative investigations

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.72 (a)

- Is it true that the agency does not impose a standard higher than a preponderance of the evidence in determining whether allegations of sexual abuse or sexual harassment are substantiated? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Completed Administrative Sexual Harassment Investigation
- Interviews
 - PREA Compliance Manager
 - Facility Investigator

Subsection(a) In a review of agency policy, completed investigations, and interview with the PREA Compliance Manager and Facility Investigator, the preponderance of the evidence - the greater weight of the evidence required to decide in favor of one side or the other is used. Preponderance is achieved when the evidence presented is even slightly on either side of an allegation. For example, a signed statement with definite terms and facts will outweigh opinions or speculation to the contrary. Hence, the preponderance of the evidence requires less certainty than “beyond a reasonable doubt,” which is the stricter test of evidence.

Standard 115.73: Reporting to inmates

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.73 (a)

- Following an investigation into an inmate’s allegation that he or she suffered sexual abuse in an agency facility, does the agency inform the inmate as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded? Yes No

115.73 (b)

- If the agency did not conduct the investigation into an inmate’s allegation of sexual abuse in an agency facility, does the agency request the relevant information from the investigative agency in order to inform the inmate? (N/A if the agency/facility is responsible for conducting administrative and criminal investigations.) Yes No NA

115.73 (c)

- Following an inmate’s allegation that a staff member has committed sexual abuse against the inmate, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the inmate whenever: The staff member is no longer posted within the inmate’s unit? Yes No
- Following an inmate’s allegation that a staff member has committed sexual abuse against the inmate, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the inmate whenever: The staff member is no longer employed at the facility? Yes No
- Following an inmate’s allegation that a staff member has committed sexual abuse against the inmate, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the inmate whenever:

The agency learns that the staff member has been indicted on a charge related to sexual abuse in the facility? Yes No

- Following an inmate's allegation that a staff member has committed sexual abuse against the inmate, unless the agency has determined that the allegation is unfounded, or unless the inmate has been released from custody, does the agency subsequently inform the inmate whenever: The agency learns that the staff member has been convicted on a charge related to sexual abuse within the facility? Yes No

115.73 (d)

- Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been indicted on a charge related to sexual abuse within the facility? Yes No
- Following an inmate's allegation that he or she has been sexually abused by another inmate, does the agency subsequently inform the alleged victim whenever: The agency learns that the alleged abuser has been convicted on a charge related to sexual abuse within the facility? Yes No

115.73 (e)

- Does the agency document all such notifications or attempted notifications? Yes No

115.73 (f)

- Auditor is not required to audit this provision.

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)

- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- MEMORANDUM FOR THE RECORD: OFFICER IN CHARGE FINDINGS AFTER REVIEW OF EVIDENCE AND INVESTIGATION OF SEXUAL ASSAULT CASE CONTROL NUMBER: 20180719-30849-0001
- MEMORANDUM FOR THE RECORD: PRISONER RAPE ELIMINATION ACT STANDARD 115.73 REQUIREMENTS
- Interviews
 - CO
 - Facility Investigator

Subsection (a)(b) Agency policy states following an investigation into a prisoner’s allegation that they suffered sexual abuse and sexual harassment in the facility, the prisoner shall be informed in writing on the Notification of Investigation Status Form as to whether the allegation has been determined to be substantiated, unsubstantiated, or unfounded. This determination shall be made, by a preponderance of the evidence, by the CO; this is a non-delegable responsibility. If the alleged incident occurred at another facility, notification shall be made via BUPERS-00D. If the facility did not conduct the investigation, it shall request the relevant information from the investigative agency (e.g., NCIS, OSI, CID, IG, etc.) in order to inform the prisoner. The facility completed six sexual misconduct investigations during the past (12) months. The auditor reviewed a criminal investigation conducted by NCIS and the facility investigator’s administrative investigation to include the outcome notification provided to the prisoner who signed for receipt of it.

Subsections (c)(d) Agency policy states following a prisoner’s allegation that a staff member has committed sexual abuse against a prisoner, the prisoner shall subsequently be informed in writing, unless the facility has determined that the allegation is unfounded, whenever 1. The staff member is no longer posted within the prisoner’s unit. The term “unit” is defined to mean any area where the alleged staff member and prisoner would be co-located. Subsequent staff posting or prisoner housing/work/programmatic assignments shall not result in co-location, depending on the nature of the allegation; 2. The staff member is no longer employed at the facility; 3. The staff member has been formally charged, or 4. The staff member has been convicted on a charge related to sexual abuse within the facility. Following a prisoner’s allegation that they have been sexually abused by another prisoner, the alleged victim shall be notified in writing whenever 1. The alleged abuser has been charged, or 2. The alleged abuser has been convicted on a charge related to sexual abuse within the facility.

The facility had not had an incident reported where staff has allegedly sexually abused a prisoner.

Subsections (e)(f) All notifications or attempted notifications are documented on the Notification of Investigation Status Form and a DD Form 2704, Victim/Witness Notification for all cases resulting in a sentence to confinement. The facility’s obligation to report under this standard terminates if the prisoner is released from custody. The auditor confirmed the above mentioned through an interview conducted with the PREA Compliance Manager/Investigator and review of the investigative documentation.

DISCIPLINE

Standard 115.76: Disciplinary sanctions for staff

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.76 (a)

- Are staff subject to disciplinary sanctions up to and including termination for violating agency sexual abuse or sexual harassment policies? Yes No

115.76 (b)

- Is termination the presumptive disciplinary sanction for staff who have engaged in sexual abuse? Yes No

115.76 (c)

- Are disciplinary sanctions for violations of agency policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) commensurate with the nature and circumstances of the acts committed, the staff member's disciplinary history, and the sanctions imposed for comparable offenses by other staff with similar histories? Yes No

115.76 (d)

- Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Law enforcement agencies (unless the activity was clearly not criminal)? Yes No
- Are all terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, reported to: Relevant licensing bodies? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Directive – Personal Conduct of Naval Consolidated Brig Miramar Staff

- MEMORANDUM FOR THE RECORD: PRISONER RAPE ELIMINATION ACT STANDARD 115.76 REQUIREMENTS

Subsections (a)(b)(c)(d) Agency policy states staff shall be subject to disciplinary action up to and including termination for violating sexual abuse or sexual harassment policies. The term “termination” for civilians means removal from federal employment after due process. For the military, termination means processing for separation from military service, after due process. For contractors and volunteers, termination means cessation of any further relationship with the facility. In any case, where an allegation of sexual abuse or sexual harassment is substantiated but does not result in termination, discipline shall include removal of the staff member from working in any naval confinement facility. Termination shall be the presumptive disciplinary action for staff who have engaged in sexual abuse. Disciplinary actions for violations of policies relating to sexual abuse or sexual harassment (other than actually engaging in sexual abuse) shall be commensurate with the nature and circumstances of the acts committed; the staff member’s disciplinary history; and the sanctions imposed for comparable offenses by other staff with similar histories. All terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation, will be reported to law enforcement agencies unless the activity was not criminal and to any relevant licensing or endorsement bodies (e.g., nursing board, education board, and institutions, credentialing organization, or religious endorsing agencies, etc.). The Legal Advisor shall make these notifications. Memorandum for the record submitted as supporting evidence and interviews with the CO and PREA Compliance Manager indicated there had been zero staff from this facility have violated agency sexual abuse or sexual harassment policies over the last (12) months. Zero staff from the facility have been terminated (or resigned before termination) for violating agency sexual abuse or sexual harassment policies, as no violations have occurred over the last (12) months. In the past (12) months, zero staff from the facility have been disciplined, short of termination, for violation of agency sexual abuse or sexual harassment policies, as no violations have occurred over the last (12) months. Also, there have been no terminations for violations of agency sexual abuse or sexual harassment policies, or resignations by staff who would have been terminated if not for their resignation had occurred, that the facility is aware of and would comply with, the requirement to report to law enforcement agencies unless the activity was clearly not criminal, and to any relevant licensing bodies.

Standard 115.77: Corrective action for contractors and volunteers

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.77 (a)

- Is any contractor or volunteer who engages in sexual abuse prohibited from contact with inmates? Yes No
- Is any contractor or volunteer who engages in sexual abuse reported to: Law enforcement agencies (unless the activity was clearly not criminal)? Yes No
- Is any contractor or volunteer who engages in sexual abuse reported to: Relevant licensing bodies? Yes No

115.77 (b)

- In the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer, does the facility take appropriate remedial measures, and consider whether to prohibit further contact with inmates? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Volunteer/Contractor (PRISONER CONTACT) PREA Training Acknowledgement Form
- MEMORANDUM FOR THE RECORD: PRISONER RAPE ELIMINATION ACT STANDARD 115.77 REQUIREMENTS
-

Subsections(a)(b) Agency policy states and was further corroborated through interviews with the CO and PREA Compliance Manager any volunteer, contractor, or intern who engages in sexual abuse shall be prohibited from contact with prisoners and shall be reported to law enforcement agencies unless the activity was clearly not criminal, and to relevant licensing or endorsement bodies (e.g., nursing board, education board or institutions, credentialing organization, or religious endorsing agencies, etc.). For cases involving violations of sexual abuse or sexual harassment policies by a volunteer, contractor, or intern, appropriate remedial measures shall be taken, including the prohibition of further contact with prisoners and reporting, as appropriate, the violation to the volunteer or intern's host organization. The PREA Compliance Manager shall maintain copies of all remedial measure's documentation for file, archive, and audit purposes. In the past 12 months, there have been zero contractors or volunteers reported to law enforcement agencies and relevant licensing bodies for engaging in the sexual abuse of prisoners. Interviews with the CO and PREA Compliance Manager indicated they have not been required to take the appropriate remedial measures and considers whether to prohibit further contact with inmates in the case of any other violation of agency sexual abuse or sexual harassment policies by a contractor or volunteer. However, they would if the situation warranted.

Standard 115.78: Disciplinary sanctions for inmates

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.78 (a)

- Following an administrative finding that an inmate engaged in inmate-on-inmate sexual abuse, or following a criminal finding of guilt for inmate-on-inmate sexual abuse, are inmates subject to disciplinary sanctions pursuant to a formal disciplinary process? Yes No

115.78 (b)

- Are sanctions commensurate with the nature and circumstances of the abuse committed, the inmate's disciplinary history, and the sanctions imposed for comparable offenses by other inmates with similar histories? Yes No

115.78 (c)

- When determining what types of sanction, if any, should be imposed, does the disciplinary process consider whether an inmate's mental disabilities or mental illness contributed to his or her behavior? Yes No

115.78 (d)

- If the facility offers therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse, does the facility consider whether to require the offending inmate to participate in such interventions as a condition of access to programming and other benefits? Yes No

115.78 (e)

- Does the agency discipline an inmate for sexual contact with staff only upon a finding that the staff member did not consent to such contact? Yes No

115.78 (f)

- For the purpose of disciplinary action does a report of sexual abuse made in good faith based upon a reasonable belief that the alleged conduct occurred NOT constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation? Yes No

115.78 (g)

- If the agency prohibits all sexual activity between inmates, does the agency always refrain from considering non-coercive sexual activity between inmates to be sexual abuse? (N/A if the agency does not prohibit all sexual activity between inmates.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)

Does Not Meet Standard (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- ADMINISTRATIVE DISCIPLINARY MEASURES
- DESIGNATION AS MCF MENTAL HEALTH OFFICER
- Prisoner Rules and Regulations Handbook
- Interviews
 - CO
 - PREA Compliance Manager

Subsections (a)(b)(c)(d)(e)(f)(g) Agency policy states all prisoners shall be subject to disciplinary actions pursuant to Discipline SOP 5001 following an administrative finding of guilt that the prisoner engaged in sexual abuse and sexual harassment, specifically including prisoner-on-prisoner sexual abuse or following a criminal finding of guilt for prisoner-on-prisoner sexual abuse. Actions shall be commensurate with the nature and circumstances of the abuse committed, the prisoner's disciplinary history, and the actions imposed for comparable offenses by other prisoners with similar histories. Should a prisoner have mental disabilities or a mental illness which may have contributed to his or her behavior as documented by medical or mental health practitioners, the disciplinary board shall take these factors into consideration when determining what type of action, if any, should be imposed. This information shall be documented on the DD Form 2714, Prisoner Disciplinary Report/Action, by the Discipline and Adjustment (D&A) Board Chair. Therapy, counseling, or other interventions designed to address and correct underlying reasons or motivations for the abuse (e.g., sex offender education or treatment, violent offender education and treatment, individual counseling, etc.), shall be offered, if available. The MCF shall consider, and in most cases require, the offending prisoner to participate in such interventions as a condition of access to programming or other benefits. Disciplinary action may be imposed on a prisoner for sexual contact with staff only upon a finding that the staff member did not consent to such contact. For the purpose of disciplinary action, a report of sexual abuse and sexual harassment made in good faith, based upon a reasonable belief that the alleged conduct occurred, shall not constitute falsely reporting an incident or lying, even if an investigation does not establish evidence sufficient to substantiate the allegation. All sexual activity between prisoners is prohibited, and prisoners will face disciplinary action for such misconduct. Such activity does not constitute sexual abuse if determined that the activity is not coerced. Interviews with the facility CO and PREA Compliance Manager, along with submitted Memorandum indicated since the MCFs last PREA inspection to present, there have been no administrative or criminal findings of guilt of inmate-to-inmate sexual abuse occurred at the Miramar MCF. Hence, there have been no instances in which the D&A Board needed to consider the mental disorder or mental disabilities of a prisoner accused of violating a MCF rule or UCMJ offense at this facility. Further, if a D&A Board for such an incident were convened, the board would give full consideration to the causes of the adverse behavior, the setting and the circumstances in which it occurred, the individual's accountability, the correctional treatment goals, and the existence of any impacting mental or emotional issues. There have been no cases of prisoners having sexual contact with a staff member at this facility. If a prisoner had sexual contact with a staff member, the

facility would discipline the prisoner only upon finding that the staff member did not consent to such contact. There have been no cases of disciplinary action for a report of sexual abuse made in good faith, based upon a reasonable belief that the alleged conduct occurred.

MEDICAL AND MENTAL CARE

Standard 115.81: Medical and mental health screenings; history of sexual abuse

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.81 (a)

- If the screening pursuant to § 115.41 indicates that a prison inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.)
 Yes No NA

115.81 (b)

- If the screening pursuant to § 115.41 indicates that a prison inmate has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a mental health practitioner within 14 days of the intake screening? (N/A if the facility is not a prison.) Yes No NA

115.81 (c)

- If the screening pursuant to § 115.41 indicates that a jail inmate has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, do staff ensure that the inmate is offered a follow-up meeting with a medical or mental health practitioner within 14 days of the intake screening? Yes No

115.81 (d)

- Is any information related to sexual victimization or abusiveness that occurred in an institutional setting strictly limited to medical and mental health practitioners and other staff as necessary to inform treatment plans and security management decisions, including housing, bed, work, education, and program assignments, or as otherwise required by Federal, State, or local law?
 Yes No

115.81 (e)

- Do medical and mental health practitioners obtain informed consent from inmates before reporting information about prior sexual victimization that did not occur in an institutional setting, unless the inmate is under the age of 18? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- COMPLETED - SCREENING FOR RISK OF VICTIMIZATION AND ABUSIVENESS
- DoD PATIENT BILL OF RIGHTS AND RESPONSIBILITIES - his applies to all medical, mental health, and dental treatment offered
- Interview
 - Staff Responsible for Risk Screening

Subsections(a)(c) Agency policy states if the screening pursuant to paragraph 6.c.(1) indicates that a prisoner has experienced prior sexual victimization, whether it occurred in an institutional setting or in the community, medical and mental health staff shall ensure that the prisoner is offered a follow-up meeting with a medical or mental health practitioner within fourteen (14) days of the intake screening. These prisoners are identified, monitored, and counseled. Medical and Mental Health staff shall maintain secondary documentation (e.g., clinical/medical notes, log, etc.) The auditor reviewed completed risk screenings for prisoners who have disclosed sexual victimization during the risk screening process and conducted them per the standard requirements. Interviews with facility staff who conduct risk screening were very knowledgeable of the standards requirements and confirmed to the auditor that all standard elements are being completed.

Subsection(b) If the screening pursuant to paragraph 6.c.(1) indicates that a prisoner has previously perpetrated sexual abuse, whether it occurred in an institutional setting or in the community, staff shall ensure that the prisoner is offered a follow-up meeting with a mental health practitioner within fourteen (14) days of the intake screening. This standard is not applicable to this facility. This facility is categorized as a jail.

Subsection(d)(e) Agency policy states and was furthered confirmed through completed risk assessments and interviews conducted with the PREA Compliance Manager and medical and mental health personnel any information related to sexual victimization or abusiveness that occurred in an institutional setting shall be strictly limited to medical and mental health practitioners and other staff, as necessary (e.g., CO, XO), to assign treatment plans, security and management decisions to include housing, cell/bed assignment, work,

education, and program assignments, or as otherwise required by Federal, State, or local law. Medical and mental health practitioners shall obtain informed consent from prisoners before reporting information about prior sexual victimization that did not occur in an institutional setting unless the prisoner is under the age of 18.

Standard 115.82: Access to emergency medical and mental health services

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.82 (a)

- Do inmate victims of sexual abuse receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment?
 Yes No

115.82 (b)

- If no qualified medical or mental health practitioners are on duty at the time a report of recent sexual abuse is made, do security staff first responders take preliminary steps to protect the victim pursuant to § 115.62? Yes No
- Do security staff first responders immediately notify the appropriate medical and mental health practitioners? Yes No

115.82 (c)

- Are inmate victims of sexual abuse offered timely information about and timely access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate? Yes No

115.82 (d)

- Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident?
 Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PREA Incident Response Procedures (§115.64, §115.65)
- MEMORANDUM FOR THE RECORD - PRISONER RAPE ELIMINATION ACT STANDARD 115.82 REQUIREMENTS
- Interviews
 - Medical and Mental Health Staff
 - Security Staff and Non-Security Staff First Responders
 - PREA Compliance Manager

Subsections(a)(b)(c)(d) Prisoner victims of sexual abuse shall receive timely, unimpeded access to emergency medical treatment and crisis intervention services, the nature and scope of which are determined by medical and mental health practitioners according to their professional judgment. Medical and mental health staff shall maintain secondary materials (notes, forms, logs) documenting the timeliness of emergency medical treatment and crisis intervention services that were provided; the appropriate response by non-health staff in the event health staff are not present at the time the incident is reported; and the provision of appropriate and timely information and services concerning contraception and sexually transmitted infection prophylaxis. The facility PREA Incident Response Procedures is completed by MCF non-medical staff to supplement and assist in timeline documentation 115.82(a)-3. The auditor confirmed this review of the completed documentation.) If no qualified medical or mental health practitioners are on duty at the time a report of recent abuse is made, security staff first responders shall take preliminary steps to protect the victim pursuant to paragraph 6.e.(2), immediately notify the MCF Command Duty Officer (CDO) who shall immediately inform Emergency Medical Services for evaluation and transport to NMCSD. The CDO will then notify the SARC, NCIS, CO, and XO. Prisoner victims of sexual abuse shall be offered information about and access to emergency contraception and sexually transmitted infections prophylaxis, in accordance with professionally accepted standards of care, where medically appropriate. Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser(s) or cooperates with any investigation arising out of the incident. There have been no reports of sexual abuse requiring emergency medical treatment during the past (12) since the last PREA inspection. There have also been no instances of prisoner victims of sexual abuse that have required emergency medical treatment and crisis intervention. Prisoners who require protection will be immediately reported to the CDO for referral to medical and mental health services. Prisoners who require emergency medical treatment and crisis intervention will receive timely unimpeded access; such treatment is always free of charge to military prisoners.

Standard 115.83: Ongoing medical and mental health care for sexual abuse victims and abusers

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.83 (a)

- Does the facility offer medical and mental health evaluation and, as appropriate, treatment to all inmates who have been victimized by sexual abuse in any prison, jail, lockup, or juvenile facility? Yes No

115.83 (b)

- Does the evaluation and treatment of such victims include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities, or their release from custody? Yes No

115.83 (c)

- Does the facility provide such victims with medical and mental health services consistent with the community level of care? Yes No

115.83 (d)

- Are inmate victims of sexually abusive vaginal penetration while incarcerated offered pregnancy tests? (N/A if “all-male” facility. *Note: in “all-male” facilities, there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.*) Yes No NA

115.83 (e)

- If pregnancy results from the conduct described in paragraph § 115.83(d), do such victims receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services? (N/A if “all-male” facility. *Note: in “all-male” facilities, there may be inmates who identify as transgender men who may have female genitalia. Auditors should be sure to know whether such individuals may be in the population and whether this provision may apply in specific circumstances.*) Yes No NA

115.83 (f)

- Are inmate victims of sexual abuse while incarcerated offered tests for sexually transmitted infections as medically appropriate? Yes No

115.83 (g)

- Are treatment services provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident? Yes No

115.83 (h)

- If the facility is a prison, does it attempt to conduct a mental health evaluation of all known inmate-on-inmate abusers within 60 days of learning of such abuse history and offer treatment when deemed appropriate by mental health practitioners? (NA if the facility is a jail.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PREA Incident Response Procedures (§115.64, §115.65)
- Interviews
 - Medical and Mental Health Staff

Subsections(a)(b)(c)(d)(e)(f)(g)(h) The MCF Medical Officer (MO) shall offer medical and mental health evaluation and, as appropriate, treatment to all prisoners who have been victimized by sexual abuse in any prison, jail, lock-up or juvenile facility. The evaluation and treatment of such victims shall include, as appropriate, follow-up services, treatment plans, and, when necessary, referrals for continued care following their transfer to, or placement in, other facilities or their release from custody. Victims shall be provided medical and mental health services consistent with community level of care. Prisoner victims of sexually abusive vaginal penetration while incarcerated, shall be offered pregnancy tests. Any allegation from a female prisoner involving vaginal penetration by a penis shall precipitate the offering of a pregnancy test. If pregnancy results from the conduct described in paragraph (d) above, such victims shall receive timely and comprehensive information about and timely access to all lawful pregnancy-related medical services. While incarcerated, prison victims of sexual abuse shall be offered tests for sexually transmitted infections as medically appropriate. Treatment services shall be provided to the victim without financial cost and regardless of whether the victim names the abuser or cooperates with any investigation arising out of the incident. A mental health evaluation of all known prisoner-on-prisoner abusers within sixty (60) days of learning such abuse history and offer treatment when deemed appropriate by mental health practitioners is not applicable to this facility. This facility is categorized as a jail. There have been no ongoing medical and mental care requirements for sexual abuse victims and abusers during the past (12) months. There have also been no instances of prisoner victims of sexual abuse that have required ongoing medical or mental health services. Prisoners who require protection will be immediately reported to the CDO for referral to medical and mental health services.

DATA COLLECTION AND REVIEW

Standard 115.86: Sexual abuse incident reviews

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.86 (a)

- Does the facility conduct a sexual abuse incident review at the conclusion of every sexual abuse investigation, including where the allegation has not been substantiated, unless the allegation has been determined to be unfounded? Yes No

115.86 (b)

- Does such review ordinarily occur within 30 days of the conclusion of the investigation? Yes No

115.86 (c)

- Does the review team include upper-level management officials, with input from line supervisors, investigators, and medical or mental health practitioners? Yes No

115.86 (d)

- Does the review team: Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse? Yes No
- Does the review team: Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, or intersex identification, status, or perceived status; gang affiliation; or other group dynamics at the facility? Yes No
- Does the review team: Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse? Yes No
- Does the review team: Assess the adequacy of staffing levels in that area during different shifts? Yes No
- Does the review team: Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff? Yes No
- Does the review team: Prepare a report of its findings, including but not necessarily limited to determinations made pursuant to §§ 115.86(d)(1) - (d)(5), and any recommendations for improvement and submit such report to the facility head and PREA Compliance Manager? Yes No

115.86 (e)

- Does the facility implement the recommendations for improvement, or document its reasons for not doing so? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

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Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PRIMARY/COLLATERAL DUTY ASSIGNMENTS
- MEMORANDUM FOR THE RECORD
- Interviews
 - CO
 - PREA Compliance Manager
 - Incident Review Team Member

Subsections(a)(b)(c)(d)(e) Agency policy states a PREA Incident Review Board shall convene within thirty (30) days of the conclusion of every sexual abuse investigation unless the allegation has been determined to be unfounded. An investigation is concluded when a substantiated, unsubstantiated, or unfounded determination is made by the facility CO. The PREA Incident Review Board shall include the XO, TD, Department Heads (as requested), and the PREA Compliance Manager with input from relevant personnel as requested, such as line supervisors, investigators, and medical or mental health practitioners. The PREA Incident Review Board shall: 1. Consider whether the allegation or investigation indicates a need to change policy or practice to better prevent, detect, or respond to sexual abuse and sexual harassment; 2. Consider whether the incident or allegation was motivated by race; ethnicity; gender identity; lesbian, gay, bisexual, transgender, intersex, or gender nonconforming identification status, or perceived status; or gang affiliation; or was motivated or otherwise caused by other group dynamics at the facility; 3. Examine the area in the facility where the incident allegedly occurred to assess whether physical barriers in the area may enable abuse; 4. Assess the adequacy of staffing levels in that area during different shifts; Assess whether monitoring technology should be deployed or augmented to supplement supervision by staff; and 6. PREA Incident Review Board chair shall prepare a report of its findings, including but not necessarily limited to determinations made pursuant to section (d)(1)-(d)(5) of this section and any recommendations for improvement. This report shall be submitted to the CO, the PREA Compliance Manager, and the BUPERS-00D/Agency PREA Coordinator. The PREA Compliance Manager shall maintain this documentation for file, archive, and audit. The facility shall implement the recommendations for improvement or document its reasons for not doing so in the PREA Annual Report. All staff will provide any and all documents to the PREA Compliance Manager for file, archive, and audit. The PREA Compliance Manager provided the

auditor with documentation delineating the facility's PREA Board members, consisting of upper-level management of the facility. The auditor reviewed completed incident reviews and determined they were completed according to policy and the PREA standards requirements. Interviews with the CO and PREA Compliance Manager confirmed they are aware of the standards requirement for conducting an after-incident review for allegations of sexual abuse determined to be founded or unsubstantiated and are prepared to do so when the need arises, which was noted during the auditor's review.

Standard 115.87: Data collection

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.87 (a)

- Does the agency collect accurate, uniform data for every allegation of sexual abuse at facilities under its direct control using a standardized instrument and set of definitions? Yes No

115.87 (b)

- Does the agency aggregate the incident-based sexual abuse data at least annually? Yes No

115.87 (c)

- Does the incident-based data include, at a minimum, the data necessary to answer all questions from the most recent version of the Survey of Sexual Violence conducted by the Department of Justice? Yes No

115.87 (d)

- Does the agency maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews? Yes No

115.87 (e)

- Does the agency also obtain incident-based and aggregated data from every private facility with which it contracts for the confinement of its inmates? (N/A if agency does not contract for the confinement of its inmates.) Yes No NA

115.87 (f)

- Does the agency, upon request, provide all such data from the previous calendar year to the Department of Justice no later than June 30? (N/A if DOJ has not requested agency data.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)

- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

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Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Agency Website – PREA Reporting Requirements
- PRISON RAPE ELIMINATION ACT (PREA) ANNUAL REPORT AND SECURITY STAFFING PLAN REVIEW FOR CY-2019
- SURVEY OF SEXUAL VICTIMIZATION, 2018 Other Correctional Facilities Summary Form
- PRISON RAPE ELIMINATION ACT ANNUAL REPORT, CALENDAR YEAR 2019; U.S. NAVY SHORE CORRECTIONAL FACILITIES WITHIN THE UNITED STATES
- PRISON RAPE ELIMINATION ACT (PREA) ANNUAL REPORT AND SECURITY STAFFING PLAN REVIEW FOR CY-2018
- Commander, Navy Personnel Command (BUPERS-00D) PRISON RAPE ELIMINATION ACT (PREA); GUIDANCE LETTER# 1
- Interview
 - PREA Compliance Manager

Subsections (a)(b)(c)(d)(e) Agency policy indicates the facility shall collect accurate, uniform data for every allegation of sexual abuse and sexual harassment using a standardized instrument and set of definitions from the most recent version of the Survey of Sexual Violence (SSV) conducted by the Department of Justice. The PREA Compliance Manager shall aggregate the incident-based sexual abuse data and forward the results to the BUPERS-00D /Agency PREA Coordinator annually. The incident-based data collected shall include, at a minimum, the data necessary to answer all questions from the most recent version of the SSV. The PREA Compliance Manager shall maintain, review, and collect data as needed from all available incident-based documents, including reports, investigation files, and sexual abuse incident reviews. The PREA Compliance Manager shall provide by 30 June all such data from the previous calendar year to BUPERS-00D , who will forward the data to the Department of Justice as required. This facility does not contract with private facilities for the confinement of prisoners. The PREA Compliance Manager provided the auditor with documentation outlined within the documentation reviewed by the auditor and found that it supported all of the elements required of the standard.

Standard 115.88: Data review for corrective action

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.88 (a)

- Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Identifying problem areas? Yes No
- Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Taking corrective action on an ongoing basis? Yes No
- Does the agency review data collected and aggregated pursuant to § 115.87 in order to assess and improve the effectiveness of its sexual abuse prevention, detection, and response policies, practices, and training, including by: Preparing an annual report of its findings and corrective actions for each facility, as well as the agency as a whole? Yes No

115.88 (b)

- Does the agency's annual report include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the agency's progress in addressing sexual abuse? Yes No

115.88 (c)

- Is the agency's annual report approved by the agency head and made readily available to the public through its website or, if it does not have one, through other means? Yes No

115.88 (d)

- Does the agency indicate the nature of the material redacted where it redacts specific material from the reports when publication would present a clear and specific threat to the safety and security of a facility? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- PRISON RAPE ELIMINATION ACT ANNUAL REPORT, CALENDAR YEAR 2019; U.S. NAVY SHORE CORRECTIONAL FACILITIES WITHIN THE UNITED STATES
- Agency Website – PREA Annual Report CY 2019
- Interviews
 - Agency Head/Designee
 - Agency-Wide PREA Coordinator
 - PREA Compliance Manager

Subsections (a)(b)(c)(d) Agency policy states and was further corroborated through documentation review and interviews with the agency head, PREA coordinator, and facility PREA Compliance Manager that data is collected and aggregated in order to assess and improve the effectiveness of the facility's sexual abuse prevention, detection, and response policies, practices, and training, including 1. Identifying problem areas; 2. Taking corrective action on an ongoing basis, preparing an Annual PREA Report of its findings and corrective actions, and forwarding the report to BUPERS-00D via MCF - Miramar for correlation as an agency. This reporting requirement is minimally satisfied by the submission of the SSV4 and SSV-IA forms. Additional supporting documentation and review materials may also be submitted to BUPERS-00D in support of an annual report. Such report shall include a comparison of the current year's data and corrective actions with those from prior years and provide an assessment of the facility's progress in addressing sexual abuse. The PREA Annual Report is forwarded to BUPERS-00D D for consolidation to make it readily available to the public through its website or through other means. The facility shall defer to BUPERS-00D regarding redaction of specific material from the reports when publication would present a clear and specific threat to the safety and security of the facility but must indicate the nature of the material redacted.

Standard 115.89: Data storage, publication, and destruction

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.89 (a)

- Does the agency ensure that data collected pursuant to § 115.87 are securely retained?
 Yes No

115.89 (b)

- Does the agency make all aggregated sexual abuse data, from facilities under its direct control and private facilities with which it contracts, readily available to the public at least annually through its website or, if it does not have one, through other means? Yes No

115.89 (c)

- Does the agency remove all personal identifiers before making aggregated sexual abuse data publicly available? Yes No

115.89 (d)

- Does the agency maintain sexual abuse data collected pursuant to § 115.87 for at least 10 years after the date of the initial collection, unless Federal, State, or local law requires otherwise? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor’s analysis and reasoning, and the auditor’s conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

Evidence Reviewed (documents, interviews, site review)

- MCF - Miramar Pre-Audit Questionnaire (PAQ)
- Agency PREA Policy - BUPERSINST 1640.23 BUPERS-00D
- NCBM SOP 817.2 Prison Rape Elimination Act (PREA)
- Agency Website – PREA Annual Report CY 2019
- Interviews
 - Agency-Wide PREA Coordinator
 - PREA Compliance Manager

Subsections(a)(b)(c)(d) Agency policy states and was further corroborated through documentation review and interviews conducted with the Agency-Wide PREA Coordinator, facility PREA Compliance Manager (Navy Corrections and Programs Office BUPERS-00D), and the PREA Compliance Manager shall ensure that data collected is securely retained. All aggregated sexual abuse data shall be forwarded to BUPERS-00D for consolidation in order to make it readily available to the public annually through its website or through other means. Before submitting aggregated sexual abuse data to BUPERS-00D, the facility shall remove all personal identifiers. The PREA Compliance Manager shall maintain sexual abuse data (including incident reports, investigative reports, offender information, case disposition, and evaluation finding) collected for at least ten years after the initial collection date unless legally required otherwise. All staff and departments will forward any and all PREA related documentation and sexual abuse data to the PREA Compliance Manager to file, archive, and audit.

AUDITING AND CORRECTIVE ACTION

Standard 115.401: Frequency and scope of audits

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.401 (a)

- During the prior three-year audit period, did the agency ensure that each facility operated by the agency, or by a private organization on behalf of the agency, was audited at least once? (*Note: The response here is purely informational. A "no" response does not impact overall compliance with this standard.*) Yes No

115.401 (b)

- Is this the first year of the current audit cycle? (*Note: a "no" response does not impact overall compliance with this standard.*) Yes No
- If this is the second year of the current audit cycle, did the agency ensure that at least one-third of each facility type operated by the agency, or by a private organization on behalf of the agency, was audited during the first year of the current audit cycle? (N/A if this is **not** the *second* year of the current audit cycle.) Yes No NA
- If this is the third year of the current audit cycle, did the agency ensure that at least two-thirds of each facility type operated by the agency, or by a private organization on behalf of the agency, were audited during the first two years of the current audit cycle? (N/A if this is **not** the *third* year of the current audit cycle.) Yes No NA

115.401 (h)

- Did the auditor have access to, and the ability to observe, all areas of the audited facility? Yes No

115.401 (i)

- Was the auditor permitted to request and receive copies of any relevant documents (including electronically stored information)? Yes No

115.401 (m)

- Was the auditor permitted to conduct private interviews with inmates, residents, and detainees? Yes No

115.401 (n)

- Were inmates permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel? Yes No

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)

- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The agency ensured that all of its facilities were audited at least once during the prior three-year audit period. The auditor had access to, and the ability to observe, all areas of the audited facility. The auditor requested and received copies of any relevant documents (including electronically stored information). The auditor was permitted to conduct private interviews with inmates, staff, contractors and volunteers. Inmates were permitted to send confidential information or correspondence to the auditor in the same manner as if they were communicating with legal counsel. The auditor received no correspondence from staff or inmates.

Standard 115.403: Audit contents and findings

All Yes/No Questions Must Be Answered by the Auditor to Complete the Report

115.403 (f)

- The agency has published on its agency website, if it has one, or has otherwise made publicly available, all Final Audit Reports. The review period is for prior audits completed during the past three years PRECEDING THIS AUDIT. The pendency of any agency appeal pursuant to 28 C.F.R. § 115.405 does not excuse noncompliance with this provision. (N/A if there have been no Final Audit Reports issued in the past three years, or in the case of single facility agencies that there has never been a Final Audit Report issued.) Yes No NA

Auditor Overall Compliance Determination

- Exceeds Standard** (*Substantially exceeds requirement of standards*)
- Meets Standard** (*Substantial compliance; complies in all material ways with the standard for the relevant review period*)
- Does Not Meet Standard** (*Requires Corrective Action*)

Instructions for Overall Compliance Determination Narrative

The narrative below must include a comprehensive discussion of all the evidence relied upon in making the compliance or non-compliance determination, the auditor's analysis and reasoning, and the auditor's conclusions. This discussion must also include corrective action recommendations where the facility does not meet the standard. These recommendations must be included in the Final Report, accompanied by information on specific corrective actions taken by the facility.

The agency has published on its agency website all Final Audit Reports. The review period is for prior audits completed during the past three years preceding this audit. The auditor confirmed this through the review of the agency website.

AUDITOR CERTIFICATION

I certify that:

- The contents of this report are accurate to the best of my knowledge.
- No conflict of interest exists with respect to my ability to conduct an audit of the agency under review, and
- I have not included in the final report any personally identifiable information (PII) about any inmate or staff member, except where the names of administrative personnel are specifically requested in the report template.

Auditor Instructions:

Type your full name in the text box below for Auditor Signature. This will function as your official electronic signature. Auditors must deliver their final report to the PREA Resource Center as a searchable PDF format to ensure accessibility to people with disabilities. Save this report document into a PDF format prior to submission.¹ Auditors are not permitted to submit audit reports that have been scanned.² See the PREA Auditor Handbook for a full discussion of audit report formatting requirements.

Mark Stegemoller

November 4, 2021

Auditor Signature

Date

¹ See additional instructions here: <https://support.office.com/en-us/article/Save-or-convert-to-PDF-d85416c5-7d77-4fd6-a216-6f4bf7c7c110>.

² See *PREA Auditor Handbook*, Version 1.0, August 2017; Pages 68-69.